

**WAC 388-101D-0405 When is a functional assessment required? (1)**

The provider must complete a functional assessment of a client's behavior if:

(a) The exceptional behavior support needs section of the client's person-centered service plan indicates extensive support is necessary to prevent:

- (i) Self-injury;
- (ii) Sexual aggression;
- (iii) Suicide attempt;
- (iv) Emotional outburst;
- (v) Property destruction;
- (vi) Assault or injury to others; or

(vii) A behavior identified in the client's person-centered service plan under "prevention of other serious behavior problem(s)-specify";

(b) The client is prescribed a psychotropic medication on a *pro re nata* (PRN or as needed) basis to change a target behavior; or

(c) The provider uses—or plans to use—restrictive procedures or physical restraints as defined in WAC 388-101-3000.

(2) Target behavior means a behavior identified by the provider that needs to be modified or replaced to meet the client's health and safety needs.

(3) The client's functional assessment must:

(a) Be based on two or more of the following:

- (i) Direct observation;
- (ii) Interview with anyone who has personal knowledge of the client;

(iii) Questionnaire; or

(iv) Record review;

(b) Describe:

(i) Client history and antecedents pertinent to the target behavior;

(ii) The client's current status;

(iii) The target behavior; and

(iv) The apparent function of the target behavior; and

(c) Exist:

(i) In draft form before the effective date of the client being added to the provider's contract; and

(ii) In final form no later than forty-five calendar days after the effective date of the client being added to the provider's contract.

(4) A draft functional assessment must define the target behavior and its apparent function.

(5) The provider may revise a functional assessment written by another provider. The provider must identify the adapted functional assessment as its own.

(6) If the provider identifies a new target behavior for a client, the provider must complete a functional assessment for that behavior within forty-five days.

(7) The provider may use a community protection participant's risk assessment in place of a functional assessment if it was completed in the past eighteen months and describes:

(a) The client's history pertinent to the target behavior;

(b) The client's current status;

(c) The target behavior; and

(d) The apparent function of the target behavior.

(8) A functional assessment is required for any target behavior not included in the client's community protection program risk assessment.

[Statutory Authority: RCW 71A.12.030 and 71A.12.120. WSR 21-12-061, § 388-101D-0405, filed 5/27/21, effective 6/27/21. WSR 16-14-058, recodified as § 388-101D-0405, filed 6/30/16, effective 8/1/16. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3850, filed 12/21/07, effective 2/1/08.]