ENGROSSED HOUSE BILL 2750

State of Washington 65th Legislature 2018 Regular Session

By Representatives Tharinger, Johnson, Cody, Stonier, Slatter, Robinson, Jinkins, Appleton, Muri, and Gregerson

Read first time 01/15/18. Referred to Committee on Health Care & Wellness.

- 1 AN ACT Relating to quality in assisted living facilities;
- 2 amending RCW 18.20.190 and 18.20.430; adding new sections to chapter
- 3 18.20 RCW; creating a new section; and prescribing penalties.
- 4 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- 5 NEW SECTION. **Sec. 1.** The legislature finds that:
- 6 (1) Washington state is ranked number one in the nation in 7 offering quality choices in its long-term services and supports 8 system. Assisted living facilities are an important part of the 9 state's long-term services and supports plan;
- 10 (2) Consumers should have access to current information about 11 assisted living facilities to make informed choices;
- 12 (3) Washingtonians choose to live in assisted living facilities 13 for many different reasons including safety, access to care, 14 socialization, rehabilitation, and community;
- 15 (4) Deciding where to live and what kind of facility to live in 16 are big decisions for potential residents and families. They deserve 17 to have access to all information collected by the state to use in 18 making their decisions. Providing transparency will allow for more 19 informed consumer choices;

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- 1 (5) Consumers already have access to information on nursing homes 2 and adult family homes. This act would bring assisted living 3 facilities in line with other settings; and
- 4 (6) Assisted living facilities need to be held accountable for 5 the residents in their care and the fine structure should be 6 reflective of that responsibility.
- NEW SECTION. Sec. 2. A new section is added to chapter 18.20 RCW to read as follows:

The department shall provide information to consumers about assisted living facilities. This information must be made available online and must include information related to site visits, substantiated inspection and complaint investigation reports, including any citation and remedy imposed, and a listing of licensed assisted living facilities by geographic location.

- NEW SECTION. Sec. 3. A new section is added to chapter 18.20 RCW to read as follows:
- 17 (1) The department shall facilitate a work group process to 18 recommend quality metrics for assisted living facilities. The 19 department shall keep a public record of comments submitted by 20 stakeholders throughout the work group process.

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- (2) The work group shall consist of representatives from the department, assisted living provider associations, the long-term care ombuds; organizations with expertise in serving persons with mental health needs in an institutional setting, as selected by the department; organizations with expertise in serving persons with developmental disability needs in an institutional setting, as selected by the department; licensed health care professionals with experience caring for geriatric patients, as selected by the department; and an Alzheimer's advocacy organization. The work group may solicit input from individuals with additional expertise, if necessary.
- (3) The work group shall make an interim report by September 1, 2019, and final recommendations to the appropriate legislative committees by September 1, 2020, and shall include a dissent report if agreement is not achieved among stakeholders and the department.
- 36 (4) The work group must submit recommendations for a quality 37 metric system, propose a process for monitoring and tracking 38 performance, and recommend a process to inform consumers.

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- 1 (5) The department shall include at least one meeting dedicated 2 to review and analysis of other states with quality metric 3 methodologies for assisted living and must include information on how 4 well each state is achieving quality care outcomes. In addressing 5 data metrics the work group shall consider whether the data that must 6 be reported reflect and promote quality of care and whether reporting 7 the data is unnecessarily burdensome upon assisted living facilities.
- 8 **Sec. 4.** RCW 18.20.190 and 2012 c 10 s 13 are each amended to 9 read as follows:
- 10 (1) The department of social and health services is authorized to 11 take one or more of the actions listed in subsection (2) of this 12 section in any case in which the department finds that an assisted 13 living facility provider has:
- 14 (a) Failed or refused to comply with the requirements of this 15 chapter or the rules adopted under this chapter;
- 16 (b) Operated an assisted living facility without a license or 17 under a revoked license;
- 18 (c) Knowingly, or with reason to know, made a false statement of 19 material fact on his or her application for license or any data 20 attached thereto, or in any matter under investigation by the 21 department; or
- 22 (d) Willfully prevented or interfered with any inspection or 23 investigation by the department.
- (2) When authorized by subsection (1) of this section, the department may take one or more of the following actions, using a tiered sanction grid that considers the extent of harm from the deficiency and the regularity of the occurrence of the deficiency when imposing civil fines:
 - (a) Refuse to issue a license;

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- 30 (b) Impose reasonable conditions on a license, such as correction 31 within a specified time, training, and limits on the type of clients 32 the provider may admit or serve;
- 33 (c) Impose civil penalties of ((not more than)) at least one
 34 hundred dollars per day per violation. Until July 1, 2019, the civil
 35 penalties may not exceed one thousand dollars per day per violation.
 36 Beginning July 1, 2019, through June 30, 2020, the civil penalties
 37 may not exceed two thousand dollars per day per violation. Beginning
 38 July 1, 2020, the civil penalties may not exceed three thousand

39 dollars per day per violation;

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- 1 (d) Impose civil penalties of up to ten thousand dollars for a
 2 current or former licensed provider who is operating an unlicensed
 3 facility;
 - (e) Suspend, revoke, or refuse to renew a license;

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- $((\frac{e}{e}))$ (f) Suspend admissions to the assisted living facility by imposing stop placement; or
- $((\frac{f}{f}))$ (g) Suspend admission of a specific category or categories of residents as related to the violation by imposing a limited stop placement.
- (3) When the department orders stop placement or a limited stop placement, the facility shall not admit any new resident until the stop placement or limited stop placement order is terminated. The department may approve readmission of a resident to the facility from a hospital or nursing home during the stop placement or limited stop placement. The department shall terminate the stop placement or limited stop placement when: (a) The violations necessitating the stop placement or limited stop placement have been corrected; and (b) the provider exhibits the capacity to maintain correction of the violations previously found deficient. However, if upon the revisit the department finds new violations that the department reasonably believes will result in a new stop placement or new limited stop placement, the previous stop placement or limited stop placement shall remain in effect until the new stop placement or new limited stop placement is imposed.
- (4) After a department finding of a violation for which a stop placement or limited stop placement has been imposed, the department shall make an on-site revisit of the provider within fifteen working days from the request for revisit, to ensure correction of the violation. For violations that are serious or recurring uncorrected following a previous citation, and create actual or threatened harm to one or more residents' well-being, including violations of residents' rights, the department shall make an on-site revisit as soon as appropriate to ensure correction of the violation. Verification of correction of all other violations may be made by either a department on-site revisit or by written or photographic documentation found by the department to be credible. This subsection does not prevent the department from enforcing license suspensions or revocations. Nothing in this subsection shall interfere with or diminish the department's authority and duty to ensure that the provider adequately cares for residents, including to make

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departmental on-site revisits as needed to ensure that the provider protects residents, and to enforce compliance with this chapter.

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- (5) RCW 43.20A.205 governs notice of a license denial, revocation, suspension, or modification. Chapter 34.05 RCW applies to department actions under this section, except that orders of the department imposing license suspension, stop placement, limited stop placement, or conditions for continuation of a license are effective immediately upon notice and shall continue pending any hearing.
- 9 (6) <u>All receipts from civil penalties imposed under this chapter</u>
 10 <u>must be deposited in the assisted living facility temporary</u>
 11 <u>management account created in RCW 18.20.430.</u>
- 12 <u>(7)</u> For the purposes of this section, "limited stop placement" 13 means the ability to suspend admission of a specific category or 14 categories of residents.
- 15 **Sec. 5.** RCW 18.20.430 and 2016 sp.s. c 36 s 912 are each amended to read as follows:

The assisted living facility temporary management account is created in the custody of the state treasurer. All receipts from civil penalties imposed under this chapter must be deposited into the account. Only the director or the director's designee may authorize expenditures from the account. The account is subject to allotment procedures under chapter 43.88 RCW, but an appropriation is not required for expenditures. Expenditures from the account may be used only for the protection of the health, safety, welfare, or property of residents of assisted living facilities found to be deficient. Uses of the account include, but are not limited to:

- 27 (1) Payment for the costs of relocation of residents to other 28 facilities;
- 29 (2) Payment to maintain operation of an assisted living facility 30 pending correction of deficiencies or closure, including payment of 31 costs associated with temporary management authorized under this 32 chapter; ((and))
- 33 (3) Reimbursement of residents for personal funds or property 34 lost or stolen when the resident's personal funds or property cannot 35 be recovered from the assisted living facility or third-party 36 insurer; and
- 37 (4) The protection of the health, safety, welfare, and property
 38 of residents of assisted living facilities found to be noncompliant
 39 with licensing standards.

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((During the 2015-2017 fiscal biennium, the account may be
expended for funding the costs associated with the assisted living
program.))
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