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HOUSE BILL 1426

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State of Washington 57th Legislature 2001 Regular Session

By Representatives Edmonds, Skinner, Cody, Pflug, Dunn, Schual-Berke, Boldt, Kagi, Kenney, Campbell, Conway and Marine

Read first time 01/25/2001. Referred to Committee on Health Care.

- 1 AN ACT Relating to the establishment of a quality improvement
- 2 program for boarding homes; amending RCW 18.20.115, 18.20.120, and
- 3 74.39A.050; and declaring an emergency.
- 4 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- 5 **Sec. 1.** RCW 18.20.115 and 1997 c 392 s 213 are each amended to 6 read as follows:
- 7 The ((department's system of quality improvement for long-term care
- 8 services shall use)) department shall develop and, upon request, make
- 9 available to boarding homes a quality improvement consultation program
- 10 using the following principles((, consistent with applicable federal
- 11 laws and regulations)):
- 12 (1) The system shall be resident-centered and promote privacy,
- 13 independence, dignity, choice, and a home or home-like environment for
- 14 residents consistent with chapter 70.129 RCW.
- 15 (2) The goal of the system is continuous quality improvement with
- 16 the focus on resident satisfaction and outcomes for residents, as
- 17 <u>identified</u> by the licensee. The quality improvement consultation
- 18 program shall be made available to boarding homes on a voluntary basis
- 19 and to the extent that funding is appropriated by the legislature.

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Based on requests for the services of the quality improvement consultation program, the department may establish a process for prioritizing service availability. ((This includes that when conducting licensing inspections, the department shall interview an appropriate percentage of residents, family members, and advocates in addition to interviewing appropriate staff.))

- (3) ((Facilities)) Boarding homes should be supported in their efforts to improve quality and address ((identified)) problems identified by the licensee initially through training, consultation, and technical assistance. At a minimum, the department shall, at the request of the boarding home, conduct on-site visits, telephone consultations, boarding home compliance self-study guides, and regional trainings for boarding home staff.
- (4) To facilitate collaboration and trust between the boarding homes and the department's quality improvement consultation program staff, the consultation program staff shall not also serve as department licensors and are, therefore, prohibited from conducting inspections, complaint or licensing, or participating in any enforcement related activities. Any records or information gained as a result of their work under the quality improvement consultation program shall not be disclosed to or shared with department licensing or complaint investigation staff. ((+4+)) The emphasis should be on problem prevention ((both in monitoring and in screening potential providers of service)).
- (5) ((Monitoring should be outcome based and responsive to resident complaints and a clear set of health, quality of care, and safety standards that are easily understandable and have been made available to facilities.
- (6) Prompt and specific enforcement remedies shall also be implemented without delay, consistent with RCW 18.20.190, for facilities found to have delivered care or failed to deliver care resulting in problems that are serious, recurring, or uncorrected, or that create a hazard that is causing or likely to cause death or serious harm to one or more residents. These enforcement remedies may also include, when appropriate, reasonable conditions on a license. In the selection of remedies, the safety, health, and well-being of residents shall be of paramount importance.
- 38 (7) To the extent funding is available, the licensee, 39 administrator, and their staff should be screened through background

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- checks in a uniform and timely manner to ensure that they do not have a criminal history that would disqualify them from working with vulnerable adults. Employees may be provisionally hired pending the results of the background check if they have been given three positive references.
- 6 (8)) The department shall promote the development of a training
 7 system that is practical and relevant to the needs of residents and
 8 staff. To improve access to training, especially for rural
 9 communities, the training system may include, but is not limited to,
 10 the use of satellite technology distance learning that is coordinated
 11 through community colleges or other appropriate organizations.
- (((9) No licensee, administrator, or staff, or prospective 12 13 licensee, administrator, or staff, with a stipulated finding of fact, conclusion of law, and agreed order, or finding of fact, conclusion of 14 15 law, or final order issued by a disciplining authority, a court of law, 16 or entered into the state registry finding him or her guilty of abuse, 17 neglect, exploitation, or abandonment of a minor or a vulnerable adult as defined in chapter 74.34 RCW shall be employed in the care of and 18 19 have unsupervised access to vulnerable adults.))
- 20 **Sec. 2.** RCW 18.20.120 and 2000 c 47 s 5 are each amended to read 21 as follows:
- 22 All information received by the department through filed reports, 23 inspections, or as otherwise authorized under this chapter shall not be 24 disclosed publicly in any manner as to identify individuals or boarding 25 homes, except at the specific request of a member of the public and disclosure is consistent with RCW 42.17.260(1); except that, any 26 27 information held by the department that was obtained as a direct result of its quality improvement consultation program, under RCW 18.20.115, 28 29 shall not be disclosed under RCW 42.17.260.
- 30 **Sec. 3.** RCW 74.39A.050 and 2000 c 121 s 10 are each amended to 31 read as follows:
- 32 <u>(1)</u> The department's system of quality improvement for long-term 33 care services, excluding boarding homes licensed under chapter 18.20 34 RCW, shall use the following principles, consistent with applicable
- 35 federal laws and regulations:

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- 1 $((\frac{1}{1}))$ <u>(a)</u> The system shall be client-centered and promote 2 privacy, independence, dignity, choice, and a home or home-like 3 environment for consumers consistent with chapter 392, Laws of 1997.
- $((\frac{(2)}{(2)}))$ (b) The goal of the system is continuous quality improvement with the focus on consumer satisfaction and outcomes for consumers. This includes that when conducting licensing inspections, the department shall interview an appropriate percentage of residents, family members, resident managers, and advocates in addition to interviewing providers and staff.
- $((\frac{3}{3}))$ (c) Providers should be supported in their efforts to improve quality and address identified problems initially through training, consultation, technical assistance, and case management.
- 13 (((4))) (d) The emphasis should be on problem prevention both in 14 monitoring and in screening potential providers of service.
- $((\frac{(5)}{(5)}))$ (e) Monitoring should be outcome based and responsive to consumer complaints and a clear set of health, quality of care, and safety standards that are easily understandable and have been made available to providers.
 - ((+6+)) (f) Prompt and specific enforcement remedies shall also be implemented without delay, pursuant to ((RCW 74.39A.080,)) RCW 70.128.160, chapter 18.51 RCW, or chapter 74.42 RCW, for providers found to have delivered care or failed to deliver care resulting in problems that are serious, recurring, or uncorrected, or that create a hazard that is causing or likely to cause death or serious harm to one or more residents. These enforcement remedies may also include, when appropriate, reasonable conditions on a contract or license. In the selection of remedies, the safety, health, and well-being of residents shall be of paramount importance.
- 29 $((\frac{7}{1}))$ (g) To the extent funding is available, all long-term care 30 staff directly responsible for the care, supervision, or treatment of 31 vulnerable persons should be screened through background checks in a uniform and timely manner to ensure that they do not have a criminal 32 history that would disqualify them from working with vulnerable 33 34 persons. Whenever a state conviction record check is required by state 35 law, persons may be employed or engaged as volunteers or independent contractors on a conditional basis according to law and rules adopted 36 37 by the department.
- 38 (((8))) (h) No provider or staff, or prospective provider or staff, 39 with a stipulated finding of fact, conclusion of law, an agreed order,

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or finding of fact, conclusion of law, or final order issued by a disciplining authority, a court of law, or entered into a state registry finding him or her guilty of abuse, neglect, exploitation, or abandonment of a minor or a vulnerable adult as defined in chapter 74.34 RCW shall be employed in the care of and have unsupervised access to vulnerable adults.

((+9+)) (2) The department shall establish, by rule, a state registry which contains identifying information about personal care aides identified under this chapter who have substantiated findings of abuse, neglect, financial exploitation, or abandonment of a vulnerable adult as defined in RCW 74.34.020. The rule must include disclosure, disposition of findings, notification, findings of fact, appeal rights, and fair hearing requirements. The department shall disclose, upon request, substantiated findings of abuse, neglect, financial exploitation, or abandonment to any person so requesting this information.

((\(\frac{(10\)}{10}\))) (3) The department shall by rule develop training requirements for individual providers and home care agency providers. Effective March 1, 2002, individual providers and home care agency providers must satisfactorily complete department-approved orientation, basic training, and continuing education within the time period specified by the department in rule. The department shall adopt rules by March 1, 2002, for the implementation of this section based on the recommendations of the community long-term care training and education steering committee established in RCW 74.39A.190. The department shall deny payment to an individual provider or a home care provider who does not complete the training requirements within the time limit specified by the department by rule.

(((11))) <u>(4)</u> In an effort to improve access to training and education and reduce costs, especially for rural communities, the coordinated system of long-term care training and education must include the use of innovative types of learning strategies such as internet resources, videotapes, and distance learning using satellite technology coordinated through community colleges or other entities, as defined by the department.

(((12))) (5) The department shall create an approval system by 37 March 1, 2002, for those seeking to conduct department-approved training. In the rule-making process, the department shall adopt rules

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based on the recommendations of the community long-term care training 1 and education steering committee established in RCW 74.39A.190. 2

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 $((\frac{13}{13}))$ (6) The department shall establish, by rule, training, background checks, and other quality assurance requirements for personal aides who provide in-home services funded by medicaid personal care as described in RCW 74.09.520, community options program entry system waiver services as described in RCW 74.39A.030, or chore services as described in RCW 74.39A.110 that are equivalent to requirements for individual providers.

 $((\frac{14}{1}))$ (7) Under existing funds the department shall establish internally a quality improvement standards committee to monitor the development of standards and to suggest modifications.

 $((\frac{15}{15}))$ (8) Within existing funds, the department shall design, develop, and implement a long-term care training program that is flexible, relevant, and qualifies towards the requirements for a nursing assistant certificate as established under chapter 18.88A RCW. This subsection does not require completion of the nursing assistant certificate training program by providers or their staff. The longterm care teaching curriculum must consist of a fundamental module, or modules, and a range of other available relevant training modules that provide the caregiver with appropriate options that assist in meeting the resident's care needs. Some of the training modules may include, but are not limited to, specific training on the special care needs of persons with developmental disabilities, dementia, mental illness, and the care needs of the elderly. No less than one training module must be dedicated to workplace violence prevention. The nursing care quality assurance commission shall work together with the department to develop the curriculum modules. The nursing care quality assurance commission shall direct the nursing assistant training programs to accept some or all of the skills and competencies from the curriculum modules towards meeting the requirements for a nursing assistant certificate as defined in chapter 18.88A RCW. A process may be developed to test persons completing modules from a caregiver's class to verify that they have the transferable skills and competencies for entry into a nursing assistant training program. The department may review whether facilities can develop their own related long-term care training programs. The department may develop a review process for determining what previous experience and training may be used to waive 38 39 some or all of the mandatory training. The department of social and

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- 1 health services and the nursing care quality assurance commission shall
- 2 work together to develop an implementation plan by December 12, 1998.
- 3 <u>NEW SECTION.</u> **Sec. 4.** This act is necessary for the immediate
- 4 preservation of the public peace, health, or safety, or support of the
- 5 state government and its existing public institutions, and takes effect
- 6 immediately.

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