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## SENATE BILL 5047

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State of Washington 56th Legislature 1999 Regular Session

By Senators Long, Hargrove and Costa

Read first time 01/11/1999. Referred to Committee on Human Services & Corrections.

- 1 AN ACT Relating to the sharing of information among mental health
- 2 professionals; and amending RCW 71.05.390.
- 3 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- 4 **Sec. 1.** RCW 71.05.390 and 1998 c 297 s 22 are each amended to read 5 as follows:
- Except as provided in this section, the fact of admission and all information and records compiled, obtained, or maintained in the course
- 8 of providing services to either voluntary or involuntary recipients of 9 services at public or private agencies shall be confidential.
- 10 Information and records may be disclosed only:
- 11 (1) In communications between qualified professional persons to
- 12 meet the requirements of this chapter, in the provision of services or
- 13 appropriate referrals, or in the course of guardianship proceedings.
- 14 The consent of the patient, or his or her guardian, shall be obtained
- 15 before information or records may be disclosed by a professional person
- 16 employed by a facility unless provided to a professional person: (a)
- 17 Employed by the facility; (b) who has medical responsibility for the
- 18 patient's care; (c) who is a county designated mental health
- 19 professional; (d) who is providing services under chapter 71.24 RCW;

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- 1 ((or)) (e) who is employed by a state or local correctional facility 2 where the person is confined; or (f) who is providing evaluation, 3 treatment, or follow-up services under chapter 10.77 RCW.
- 4 (2) When the communications regard the special needs of a patient 5 and the necessary circumstances giving rise to such needs and the 6 disclosure is made by a facility providing outpatient services to the 7 operator of a care facility in which the patient resides.
- 8 (3) When the person receiving services, or his or her guardian, 9 designates persons to whom information or records may be released, or 10 if the person is a minor, when his or her parents make such 11 designation.
- 12 (4) To the extent necessary for a recipient to make a claim, or for 13 a claim to be made on behalf of a recipient for aid, insurance, or 14 medical assistance to which he or she may be entitled.
- (5) For either program evaluation or research, or both: PROVIDED,
  That the secretary ((of social and health services)) adopts rules for
  the conduct of the evaluation or research, or both. Such rules shall
  include, but need not be limited to, the requirement that all
  evaluators and researchers must sign an oath of confidentiality
  substantially as follows:
- "As a condition of conducting evaluation or research concerning persons who have received services from (fill in the facility, agency, or person) I, . . . . . . . . , agree not to divulge, publish, or otherwise make known to unauthorized persons or the public any information obtained in the course of such evaluation or research regarding persons who have received services such that the person who received such services is identifiable.
- I recognize that unauthorized release of confidential information may subject me to civil liability under the provisions of state law.
- 30 /s/ ......."
- 31 (6) To the courts as necessary to the administration of this 32 chapter.
- 33 (7) To law enforcement officers, public health officers, or 34 personnel of the department of corrections or the indeterminate 35 sentence review board for persons who are the subject of the records 36 and who are committed to the custody of the department of corrections 37 or indeterminate sentence review board which information or records are 38 necessary to carry out the responsibilities of their office. Except

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- for dissemination of information released pursuant to RCW 71.05.425 and 2 4.24.550, regarding persons committed under this chapter under RCW 71.05.280(3) and 71.05.320(2)(c) after dismissal of a sex offense as 3 4 defined in RCW 9.94A.030, the extent of information that may be
- (a) Only the fact, place, and date of involuntary admission, the 6 7 fact and date of discharge, and the last known address shall be 8 disclosed upon request; and
- 9 (b) The law enforcement and public health officers or personnel of 10 the department of corrections or indeterminate sentence review board shall be obligated to keep such information confidential in accordance 11 12 with this chapter; and
  - (c) Additional information shall be disclosed only after giving notice to said person and his or her counsel and upon a showing of clear, cogent and convincing evidence that such information is necessary and that appropriate safeguards for strict confidentiality are and will be maintained. However, in the event the said person has escaped from custody, said notice prior to disclosure is not necessary and that the facility from which the person escaped shall include an evaluation as to whether the person is of danger to persons or property and has a propensity toward violence.
    - (8) To the attorney of the detained person.

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released is limited as follows:

- (9) To the prosecuting attorney as necessary to carry out the responsibilities of the office under RCW 71.05.330(2) 71.05.340(1)(b) and 71.05.335. The prosecutor shall be provided access to records regarding the committed person's treatment and prognosis, medication, behavior problems, and other records relevant to the issue of whether treatment less restrictive than inpatient treatment is in the best interest of the committed person or others. Information shall be disclosed only after giving notice to the committed person and the person's counsel.
- (10) To appropriate law enforcement agencies and to a person, when 32 33 the identity of the person is known to the public or private agency, 34 whose health and safety has been threatened, or who is known to have been repeatedly harassed, by the patient. The person may designate a representative to receive the disclosure. The disclosure shall be made 36 37 by the professional person in charge of the public or private agency or his or her designee and shall include the dates of admission, 38 39 discharge, authorized or unauthorized absence from the agency's

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- 1 facility, and only such other information that is pertinent to the
- 2 threat or harassment. The decision to disclose or not shall not result
- 3 in civil liability for the agency or its employees so long as the
- 4 decision was reached in good faith and without gross negligence.
- 5 (11) To the persons designated in RCW 71.05.425 for the purposes 6 described in that section.
- 7 (12) Civil liability and immunity for the release of information 8 about a particular person who is committed to the department under RCW 9 71.05.280(3) and 71.05.320(2)(c) after dismissal of a sex offense as 10 defined in RCW 9.94A.030, is governed by RCW 4.24.550.
- 11 (13) To a patient's next of kin, guardian, or conservator, if any, 12 in the event of death, as provided in RCW 71.05.400.
- (14) To the department of health of the purposes of determining compliance with state or federal licensure, certification, or registration rules or laws. However, the information and records obtained under this subsection are exempt from public inspection and copying pursuant to chapter 42.17 RCW.
- The fact of admission, as well as all records, files, evidence, 18 19 findings, or orders made, prepared, collected, or maintained pursuant to this chapter shall not be admissible as evidence in any legal 20 proceeding outside this chapter without the written consent of the 21 person who was the subject of the proceeding except in a subsequent 22 criminal prosecution of a person committed pursuant to RCW 71.05.280(3) 23 24 or 71.05.320(2)(c) on charges that were dismissed pursuant to chapter 25 10.77 RCW due to incompetency to stand trial or in a civil commitment 26 proceeding pursuant to chapter 71.09 RCW. The records and files maintained in any court proceeding pursuant to this chapter shall be 27 confidential and available subsequent to such proceedings only to the 28 person who was the subject of the proceeding or his or her attorney. 29 30 In addition, the court may order the subsequent release or use of such 31 records or files only upon good cause shown if the court finds that appropriate safeguards for strict confidentiality are and will be 32 maintained. 33

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