S-3731.			

SENATE BILL 6425

State of Washington 55th Legislature 1998 Regular Session

By Senators McCaslin, Haugen and Fraser

Read first time 01/19/98. Referred to Committee on Government Operations.

- 1 AN ACT Relating to legal authority of agency heads; and amending
- 2 RCW 34.05.010.
- 3 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- 4 **Sec. 1.** RCW 34.05.010 and 1997 c 126 s 2 are each amended to read 5 as follows:
- The definitions set forth in this section shall apply throughout this chapter, unless the context clearly requires otherwise.
- 8 (1) "Adjudicative proceeding" means a proceeding before an agency
- 9 in which an opportunity for hearing before that agency is required by
- 10 statute or constitutional right before or after the entry of an order
- 11 by the agency. Adjudicative proceedings also include all cases of
- 12 licensing and rate making in which an application for a license or rate
- 13 change is denied except as limited by RCW 66.08.150, or a license is
- 14 revoked, suspended, or modified, or in which the granting of an
- 15 application is contested by a person having standing to contest under
- 16 the law.
- 17 (2) "Agency" means any state board, commission, department,
- 18 institution of higher education, or officer, authorized by law to make
- 19 rules or to conduct adjudicative proceedings, except those in the

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- 1 legislative or judicial branches, the governor, or the attorney general
- 2 except to the extent otherwise required by law and any local
- 3 governmental entity that may request the appointment of an
- 4 administrative law judge under chapter 42.41 RCW.
- 5 (3) "Agency action" means licensing, the implementation or 6 enforcement of a statute, the adoption or application of an agency rule 7 or order, the imposition of sanctions, or the granting or withholding 8 of benefits.
- 9 Agency action does not include an agency decision regarding (a) contracting or procurement of goods, services, public works, and the 10 11 purchase, lease, or acquisition by any other means, including eminent domain, of real estate, as well as all activities necessarily related 12 13 to those functions, or (b) determinations as to the sufficiency of a showing of interest filed in support of a representation petition, or 14 15 mediation or conciliation of labor disputes or arbitration of labor 16 disputes under a collective bargaining law or similar statute, or (c) 17 any sale, lease, contract, or other proprietary decision in the management of public lands or real property interests, or (d) the 18 19 granting of a license, franchise, or permission for the use of 20 trademarks, symbols, and similar property owned or controlled by the 21 agency.
- (4) "Agency head" means the individual or body of individuals in whom the ultimate legal authority of the agency is vested by any provision of law. If the agency head is a body of individuals, a majority of those individuals constitutes the agency head. The ultimate legal authority of the agency or any portion of that legal authority is nondeligable for purposes of this chapter.
- (5) "Entry" of an order means the signing of the order by all persons who are to sign the order, as an official act indicating that the order is to be effective.
- 31 (6) "Filing" of a document that is required to be filed with an 32 agency means delivery of the document to a place designated by the 33 agency by rule for receipt of official documents, or in the absence of 34 such designation, at the office of the agency head.
- 35 (7) "Institutions of higher education" are the University of 36 Washington, Washington State University, Central Washington University, 37 Eastern Washington University, Western Washington University, The 38 Evergreen State College, the various community colleges, and the 39 governing boards of each of the above, and the various colleges,

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- divisions, departments, or offices authorized by the governing board of the institution involved to act for the institution, all of which are sometimes referred to in this chapter as "institutions."
- 4 (8) "Interpretive statement" means a written expression of the 5 opinion of an agency, entitled an interpretive statement by the agency 6 head or its designee, as to the meaning of a statute or other provision 7 of law, of a court decision, or of an agency order.
- 8 (9)(a) "License" means a franchise, permit, certification, 9 approval, registration, charter, or similar form of authorization 10 required by law, but does not include (i) a license required solely for revenue purposes, or (ii) a certification of an exclusive bargaining 11 representative, or similar status, under a collective bargaining law or 12 13 similar statute, or (iii) a license, franchise, or permission for use of trademarks, symbols, and similar property owned or controlled by the 14 15 agency.
- 16 (b) "Licensing" includes the agency process respecting the 17 issuance, denial, revocation, suspension, or modification of a license.
- (10) "Mail" or "send," for purposes of any notice relating to rule making or policy or interpretive statements, means regular mail or electronic distribution, as provided in RCW 34.05.260. "Electronic distribution" or "electronically" means distribution by electronic mail or facsimile mail.
- (11)(a) "Order," without further qualification, means a written statement of particular applicability that finally determines the legal rights, duties, privileges, immunities, or other legal interests of a specific person or persons.
- (b) "Order of adoption" means the official written statement by which an agency adopts, amends, or repeals a rule.
- 29 (12) "Party to agency proceedings," or "party" in a context so 30 indicating, means:
- 31 (a) A person to whom the agency action is specifically directed; or
- 32 (b) A person named as a party to the agency proceeding or allowed 33 to intervene or participate as a party in the agency proceeding.
- (13) "Party to judicial review or civil enforcement proceedings," or "party" in a context so indicating, means:
- 36 (a) A person who files a petition for a judicial review or civil 37 enforcement proceeding; or

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- 1 (b) A person named as a party in a judicial review or civil 2 enforcement proceeding, or allowed to participate as a party in a 3 judicial review or civil enforcement proceeding.
- 4 (14) "Person" means any individual, partnership, corporation, 5 association, governmental subdivision or unit thereof, or public or 6 private organization or entity of any character, and includes another 7 agency.
 - (15) "Policy statement" means a written description of the current approach of an agency, entitled a policy statement by the agency head or its designee, to implementation of a statute or other provision of law, of a court decision, or of an agency order, including where appropriate the agency's current practice, procedure, or method of action based upon that approach.
- 14 (16) "Rule" means any agency order, directive, or regulation of 15 general applicability (a) the violation of which subjects a person to 16 a penalty or administrative sanction; (b) which establishes, alters, or 17 revokes any procedure, practice, or requirement relating to agency hearings; (c) which establishes, alters, or revokes any qualification 18 19 or requirement relating to the enjoyment of benefits or privileges 20 conferred by law; (d) which establishes, alters, or revokes any qualifications or standards for the issuance, suspension, or revocation 21 22 of licenses to pursue any commercial activity, trade, or profession; or 23 (e) which establishes, alters, or revokes any mandatory standards for 24 any product or material which must be met before distribution or sale. 25 The term includes the amendment or repeal of a prior rule, but does not 26 include (i) statements concerning only the internal management of an 27 agency and not affecting private rights or procedures available to the public, (ii) declaratory rulings issued pursuant to RCW 34.05.240, 28 29 (iii) traffic restrictions for motor vehicles, bicyclists, and 30 pedestrians established by the secretary of transportation or his designee where notice of such restrictions is given by official traffic 31 control devices, or (iv) rules of institutions of higher education 32 involving standards of admission, academic advancement, academic 33 34 credit, graduation and the granting of degrees, employment 35 relationships, or fiscal processes.
- 36 (17) "Rules review committee" or "committee" means the joint 37 administrative rules review committee created pursuant to RCW 34.05.610 38 for the purpose of selectively reviewing existing and proposed rules of 39 state agencies.

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- 1 (18) "Rule making" means the process for formulation and adoption 2 of a rule.
- 3 (19) "Service," except as otherwise provided in this chapter, means 4 posting in the United States mail, properly addressed, postage prepaid,
- 5 or personal service. Service by mail is complete upon deposit in the
- 6 United States mail. Agencies may, by rule, authorize service by
- 7 electronic telefacsimile transmission, where copies are mailed
- 8 simultaneously, or by commercial parcel delivery company.

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