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## SUBSTITUTE SENATE BILL 5671

State of Washington 1997 Regular Session 55th Legislature

By Senate Committee on Government Operations (originally sponsored by Senator McCaslin)

Read first time 03/05/97.

- 1 AN ACT Relating to issuances by administrative agencies; amending
- 2 RCW 34.05.010, 34.05.230, 34.05.570, 34.05.630, 34.05.640, 34.05.655,
- 3 and 51.04.030; and reenacting and amending RCW 42.17.260.
- BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- Sec. 1. RCW 34.05.010 and 1992 c 44 s 10 are each amended to read 5 6 as follows:
- 7 The definitions set forth in this section shall apply throughout this chapter, unless the context clearly requires otherwise. 8
- 9 (1) "Adjudicative proceeding" means a proceeding before an agency
- 10 in which an opportunity for hearing before that agency is required by statute or constitutional right before or after the entry of an order 11
- 12 by the agency. Adjudicative proceedings also include all cases of
- 13 licensing and rate making in which an application for a license or rate
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- change is denied except as limited by RCW 66.08.150, or a license is
- 15 revoked, suspended, or modified, or in which the granting of an
- 16 application is contested by a person having standing to contest under
- 17 the law.
- 18 (2) "Agency" means any state board, commission, department,
- institution of higher education, or officer, authorized by law to make 19

rules or to conduct adjudicative proceedings, except those in the legislative or judicial branches, the governor, or the attorney general except to the extent otherwise required by law and any local governmental entity that may request the appointment of an

administrative law judge under chapter 42.41 RCW.

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- (3) "Agency action" means licensing, the implementation or enforcement of a statute, the adoption or application of an agency rule or order, the imposition of sanctions, or the granting or withholding of benefits.
- Agency action does not include an agency decision regarding (a) 10 contracting or procurement of goods, services, public works, and the 11 purchase, lease, or acquisition by any other means, including eminent 12 13 domain, of real estate, as well as all activities necessarily related to those functions, or (b) determinations as to the sufficiency of a 14 15 showing of interest filed in support of a representation petition, or 16 mediation or conciliation of labor disputes or arbitration of labor 17 disputes under a collective bargaining law or similar statute, or (c) any sale, lease, contract, or other proprietary decision in the 18 19 management of public lands or real property interests, or (d) the 20 granting of a license, franchise, or permission for the use of trademarks, symbols, and similar property owned or controlled by the 21 22 agency.
- (4) "Agency head" means the individual or body of individuals in whom the ultimate legal authority of the agency is vested by any provision of law. If the agency head is a body of individuals, a majority of those individuals constitutes the agency head.
- (5) "De facto rule" means an issuance not adopted under Part III of 27 28 this chapter that the agency uses to (a) subject a person to a penalty 29 or administrative sanction; (b) establish, alter, or revoke a 30 procedure, practice, or requirement relating to agency hearings; (c) 31 establish, alter, or revoke a qualification or requirement relating to the enjoyment of a benefit or privilege conferred by law; (d) 32 establish, alter, or revoke a qualification or standard for the 33 34 issuance, suspension, or revocation of a license to pursue a commercial activity, trade, or profession; or (e) establish, alter, or revoke 35 mandatory standards for a product or material that must be met before 36 distribution or sale. The term does not include (i) statements 37 38 concerning only the internal management of an agency and not affecting 39 private rights or procedures available to the public, (ii) declaratory

- rulings issued under RCW 34.05.240, (iii) traffic restrictions for motor vehicles, bicyclists, and pedestrians established by the secretary of transportation or his or her designee where notice of the restrictions is given by official traffic control devices, or (iv) rules of institutions of higher education involving standards of admission, academic advancement, academic credit, graduation and the granting of degrees, employment relationships, or fiscal processes.
- 8 <u>(6)</u> "Entry" of an order means the signing of the order by all 9 persons who are to sign the order, as an official act indicating that 10 the order is to be effective.
- ((<del>(6)</del>)) <u>(7)</u> "Filing" of a document that is required to be filed with an agency means delivery of the document to a place designated by the agency by rule for receipt of official documents, or in the absence of such designation, at the office of the agency head.
- 15  $((\frac{7}{1}))$  (8) "Institutions of higher education" are the University 16 Washington, Washington State University, Central Washington 17 Washington University, Eastern University, Western Washington The Evergreen State College, the various community 18 University, 19 colleges, and the governing boards of each of the above, and the 20 various colleges, divisions, departments, or offices authorized by the governing board of the institution involved to act for the institution, 21 22 all of which are sometimes referred to in this chapter 23 "institutions."
- (((8))) (9) "Interpretive statement" means a written expression of the opinion of an agency, entitled an interpretive statement by the agency head or its designee, as to the meaning of a statute or other provision of law, of a court decision, or of an agency order.
- 28 ((<del>(9)</del>)) (10) "Issuance" means a written document of general 29 applicability issued by an agency that is available to the public. It 30 includes, but is not limited to, an agency order, directive, policy 31 statement, interpretive statement, guideline, letter, memorandum, rule, 32 or de facto rule.
- 33 (11)(a) "License" means a franchise, permit, certification, 34 approval, registration, charter, or similar form of authorization 35 required by law, but does not include (i) a license required solely for 36 revenue purposes, or (ii) a certification of an exclusive bargaining 37 representative, or similar status, under a collective bargaining law or 38 similar statute, or (iii) a license, franchise, or permission for use

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- 1 of trademarks, symbols, and similar property owned or controlled by the 2 agency.
- "Licensing" includes the agency process respecting the 3 (b) 4 issuance, denial, revocation, suspension, or modification of a license.
- $((\frac{10}{10}))$   $\underline{(12)}(a)$  "Order," without further qualification, means a 5 written statement of particular applicability that finally determines 6 7 the legal rights, duties, privileges, immunities, or other legal 8 interests of a specific person or persons.
- 9 (b) "Order of adoption" means the official written statement by which an agency adopts, amends, or repeals a rule. 10
- 11  $((\frac{11}{1}))$  (13) "Party to agency proceedings," or "party" in a 12 context so indicating, means:
- 13 (a) A person to whom the agency action is specifically directed; or
- (b) A person named as a party to the agency proceeding or allowed 14 15 to intervene or participate as a party in the agency proceeding.
- 16  $((\frac{12}{12}))$  (14) "Party to judicial review or civil enforcement proceedings, " or "party" in a context so indicating, means: 17
- (a) A person who files a petition for a judicial review or civil 18 19 enforcement proceeding; or
- 20 (b) A person named as a party in a judicial review or civil enforcement proceeding, or allowed to participate as a party in a 21 22 judicial review or civil enforcement proceeding.
- 23  $((\frac{13}{13}))$  "Person" means any individual, partnership, 24 corporation, association, governmental subdivision or unit thereof, or 25 public or private organization or entity of any character, and includes 26 another agency.
- 27 (((14))) (16) "Policy statement" means a written description of the current approach of an agency, entitled a policy statement by the 28 agency head or its designee, to implementation of a statute or other 29 30 provision of law, of a court decision, or of an agency order, including where appropriate the agency's current practice, procedure, or method 31 32 of action based upon that approach.
- $((\frac{15}{15}))$  <u>(17)</u> "Rule" means any  $(\frac{15}{15})$ regulation of general applicability (a) the violation of which subjects a person to a penalty or administrative sanction; (b) which establishes, alters, or revokes any procedure, practice, or requirement 36 relating to agency hearings; (c) which establishes, alters, or revokes any qualification or requirement relating to the enjoyment of benefits 38 39 or privileges conferred by law; (d) which establishes, alters, or

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- revokes any qualifications or standards for the issuance, suspension, 1 or revocation of licenses to pursue any commercial activity, trade, or 2 profession; or (e) which establishes, alters, or revokes any mandatory 3 4 standards for any product or material which must be met before distribution or sale)) issuance adopted under Part III of this chapter. 5 The term includes the amendment or repeal of a prior rule((, but does 6 7 not include (i) statements concerning only the internal management of 8 an agency and not affecting private rights or procedures available to 9 the public, (ii) declaratory rulings issued pursuant to RCW 34.05.240, 10 (iii) traffic restrictions for motor vehicles, bicyclists, and pedestrians established by the secretary of transportation or his 11 designee where notice of such restrictions is given by official traffic 12 13 control devices, or (iv) rules of institutions of higher education involving standards of admission, academic advancement, academic 14 15 credit, graduation and the granting of degrees, employment relationships, or fiscal processes)). 16
- ((<del>(16)</del>)) <u>(18)</u> "Rules review committee" or "committee" means the joint administrative rules review committee created pursuant to RCW 34.05.610 ((<del>for the purpose of selectively reviewing existing and proposed rules of state agencies</del>)).
- 21 (((17))) (19) "Rule making" means the process for formulation and 22 adoption of a rule.
- ((<del>(18)</del>)) (20) "Service," except as otherwise provided in this chapter, means posting in the United States mail, properly addressed, postage prepaid, or personal service. Service by mail is complete upon deposit in the United States mail. Agencies may, by rule, authorize service by electronic telefacsimile transmission, where copies are mailed simultaneously, or by commercial parcel delivery company.
- 29 **Sec. 2.** RCW 34.05.230 and 1996 c 206 s 12 are each amended to read 30 as follows:
- (1) ((If the adoption of rules is not feasible and practicable,))

  An agency is encouraged to advise the public of its current opinions,
  approaches, and likely courses of action by means of ((interpretive or
  policy statements. Current interpretive and policy statements))
  issuances. Unless adopted under Part III of this chapter, these
  issuances are advisory only. ((To better inform and involve the
  public, an agency is encouraged to convert long standing interpretive
- 38 and policy statements into rules.))

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- (2) A person may petition an agency ((requesting the conversion of interpretive and policy statements into rules)) to adopt an issuance as a rule. Upon submission, the agency shall notify the joint administrative rules review committee of the petition. Within sixty days after submission of a petition, the agency shall either deny the petition in writing, stating its reasons for the denial, or initiate rule-making proceedings in accordance with this chapter.
  - (3) Each agency shall maintain a roster of interested persons, consisting of persons who have requested in writing to be notified of all interpretive and policy statements issued by that agency. Each agency shall update the roster once each year and eliminate persons who do not indicate a desire to continue on the roster. Whenever an agency issues an interpretive or policy statement, it shall send a copy of the statement to each person listed on the roster. The agency may charge a nominal fee to the interested person for this service.
- (4) Whenever an agency issues an interpretive or policy statement, it shall submit to the code reviser for publication in the Washington State Register a statement describing the subject matter of the interpretive or policy statement, and listing the person at the agency from whom a copy of the interpretive or policy statement may be obtained.
- 22 **Sec. 3.** RCW 34.05.570 and 1995 c 403 s 802 are each amended to 23 read as follows:
- 24 (1) Generally. Except to the extent that this chapter or another 25 statute provides otherwise:
- 26 (a) The burden of demonstrating the invalidity of agency action is 27 on the party asserting invalidity;
- (b) The validity of agency action shall be determined in accordance with the standards of review provided in this section, as applied to the agency action at the time it was taken;
- 31 (c) The court shall make a separate and distinct ruling on each 32 material issue on which the court's decision is based; and
- 33 (d) The court shall grant relief only if it determines that a 34 person seeking judicial relief has been substantially prejudiced by the 35 action complained of.
- 36 (2) Review of rules. (a) A rule may be reviewed by petition for 37 declaratory judgment filed pursuant to this subsection or in the 38 context of any other review proceeding under this section. In an

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14 15 action challenging the validity of a rule, the agency shall be made a party to the proceeding.

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- (b) The validity of any rule may be determined upon petition for a 3 4 declaratory judgment addressed to the superior court of Thurston county, when it appears that the rule, or its threatened application, 5 interferes with or impairs or immediately threatens to interfere with 6 or impair the legal rights or privileges of the petitioner. 7 declaratory judgment order may be entered whether or not the petitioner 8 9 has first requested the agency to pass upon the validity of the rule in 10 question.
- 11 (c) In a proceeding involving review of a rule, the court shall 12 declare the rule invalid only if it finds that: The rule violates 13 constitutional provisions; the rule exceeds the statutory authority of 14 the agency; the rule was adopted without compliance with statutory 15 rule-making procedures; or the rule is arbitrary and capricious.
- 16 (3) Review of agency orders in adjudicative proceedings. The court
  17 shall grant relief from an agency order in an adjudicative proceeding
  18 only if it determines that:
- 19 (a) The order, or the statute or rule on which the order is based, 20 is in violation of constitutional provisions on its face or as applied;
- (b) The order is outside the statutory authority or jurisdiction of the agency conferred by any provision of law;
- (c) The agency has engaged in unlawful procedure or decision-making process, or has failed to follow a prescribed procedure;
  - (d) The agency has erroneously interpreted or applied the law;
- (e) The order is not supported by evidence that is substantial when viewed in light of the whole record before the court, which includes the agency record for judicial review, supplemented by any additional evidence received by the court under this chapter;
- (f) The agency has not decided all issues requiring resolution by the agency;
- 32 (g) A motion for disqualification under RCW 34.05.425 or 34.12.050 33 was made and was improperly denied or, if no motion was made, facts are 34 shown to support the grant of such a motion that were not known and 35 were not reasonably discoverable by the challenging party at the 36 appropriate time for making such a motion;
- 37 (h) The order is inconsistent with a rule of the agency unless the 38 agency explains the inconsistency by stating facts and reasons to 39 demonstrate a rational basis for inconsistency; ((or))

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- 1 (i) The order is arbitrary or capricious; or
- 2 <u>(j) The order is based on a de facto rule</u>.
- 3 (4) Review of other agency action.
- 4 (a) All agency action not reviewable under subsection (2) or (3) of this section shall be reviewed under this subsection.
- (b) A person whose rights are violated by an agency's failure to 6 7 perform a duty that is required by law to be performed may file a 8 petition for review pursuant to RCW 34.05.514, seeking an order pursuant to this subsection requiring performance. Within twenty days 9 10 after service of the petition for review, the agency shall file and 11 serve an answer to the petition, made in the same manner as an answer 12 to a complaint in a civil action. The court may hear evidence, pursuant to RCW 34.05.562, on material issues of fact raised by the 13 petition and answer. 14
- 15 (c) Relief for persons aggrieved by the performance of an agency 16 action, including the exercise of discretion, or an action under (b) of 17 this subsection can be granted only if the court determines that the 18 action is:
- 19 (i) Unconstitutional;
- 20 (ii) Outside the statutory authority of the agency or the authority 21 conferred by a provision of law;
- 22 (iii) Arbitrary or capricious; ((<del>or</del>))
- (iv) Taken by persons who were not properly constituted as agency officials lawfully entitled to take such action; or
- 25 <u>(v) Based on a de facto rule</u>.
- 26 **Sec. 4.** RCW 34.05.630 and 1996 c 318 s 4 are each amended to read 27 as follows:
- (1) All ((rules required to be filed pursuant to RCW 34.05.380, and emergency rules adopted pursuant to RCW 34.05.350,)) issuances are subject to selective review by the legislature.
- 31 (2) ((All agency policy and interpretive statements are subject to 32 selective review by the legislature.
- (3) (3)) If the rules review committee finds by a majority vote of its members: (a) That an existing rule is not within the intent of the legislature as expressed by the statute ((which)) that the rule implements, (b) that the rule has not been adopted in accordance with all applicable provisions of law, or (c) that an agency issuance is ((using a policy or interpretive statement in place of)) a de factor

rule, the agency affected shall be notified of such finding and the 1 reasons therefor. Within thirty days of the receipt of the rules 2 review committee's notice, the agency shall file notice of a hearing on 3 4 the rules review committee's finding with the code reviser and mail 5 notice to all persons who have made timely request of the agency for advance notice of its rule-making proceedings as provided in RCW 6 The agency's notice shall include the rules review 7 34.05.320. 8 committee's findings and reasons therefor, and shall be published in 9 the Washington state register in accordance with the provisions of 10 chapter 34.08 RCW.

((\(\frac{4}{4}\))) (3) The agency shall consider fully all written and oral submissions regarding (a) whether the rule in question is within the intent of the legislature as expressed by the statute ((\(\frac{which}{a}\))) that the rule implements, (b) whether the rule was adopted in accordance with all applicable provisions of law, or (c) whether ((\(\frac{the agency is}{a}\)) using a policy or interpretive statement in place of a)) an agency is issuance is a defacto rule.

- 18 **Sec. 5.** RCW 34.05.640 and 1996 c 318 s 5 are each amended to read 19 as follows:
- (1) Within seven days of an agency hearing held after notification of the agency by the rules review committee pursuant to RCW 34.05.620 or 34.05.630, the affected agency shall notify the committee of its intended action on a proposed or existing rule or issuance to which the committee objected ((or on a committee finding of the agency's failure to adopt rules)).

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rules review committee.

(2) If the rules review committee finds by a majority vote of its members: (a) That the proposed or existing rule in question will not be modified, amended, withdrawn, or repealed by the agency so as to conform with the intent of the legislature, (b) that an existing rule was not adopted in accordance with all applicable provisions of law, or (c) that the agency will not modify or withdraw the issuance, or replace ((the policy or interpretive statement)) it with a rule, the rules review committee may, within thirty days from notification by the agency of its intended action, file with the code reviser notice of its objections together with a concise statement of the reasons therefor. Such notice and statement shall also be provided to the agency by the

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- (3) If the rules review committee makes an adverse finding 1 regarding an existing rule under subsection (2)(a) or (b) of this 2 3 section, the committee may, by a majority vote of its members, 4 recommend suspension of the rule. Within seven days of such vote the 5 committee shall transmit to the appropriate standing committees of the legislature, the governor, the code reviser, and the agency written 6 7 notice of its objection and recommended suspension and the concise 8 reasons therefor. Within thirty days of receipt of the notice, the 9 governor shall transmit to the committee, the code reviser, and the 10 agency written approval or disapproval of the recommended suspension. If the suspension is approved by the governor, it is effective from the 11 date of that approval and continues until ninety days after the 12 13 expiration of the next regular legislative session.
- (4) The code reviser shall publish transmittals from the rules review committee or the governor issued pursuant to subsection (2) or (3) of this section in the Washington state register and shall publish in the next supplement and compilation of the Washington Administrative Code a reference to the committee's objection or recommended suspension and the governor's action on it and to the issue of the Washington state register in which the full text thereof appears.
- 21 (5) The reference shall be removed from a rule published in the 22 Washington Administrative Code if a subsequent adjudicatory proceeding 23 determines that the rule is within the intent of the legislature or was 24 adopted in accordance with all applicable laws, whichever was the 25 objection of the rules review committee.
- 26 **Sec. 6.** RCW 34.05.655 and 1996 c 318 s 7 are each amended to read 27 as follows:
- (1) Any person may petition the rules review committee for a review of a proposed or existing rule or ((a policy or interpretive statement)) other issuance. Within thirty days of the receipt of the petition, the rules review committee shall acknowledge receipt of the petition and describe any initial action taken. If the rules review committee rejects the petition, a written statement of the reasons for rejection shall be included.
- 35 (2) A person may petition the rules review committee under 36 subsection (1) of this section requesting review of an existing rule 37 only if the person has petitioned the agency to amend or repeal the 38 rule under RCW 34.05.330(1) and such petition was denied.

- 1 (3) A petition for review of a rule under subsection (1) of this 2 section shall:
- 3 (a) Identify with specificity the proposed or existing rule to be 4 reviewed;
- 5 (b) Identify the specific statute identified by the agency as 6 authorizing the rule, the specific statute which the rule interprets or 7 implements, and, if applicable, the specific statute the department is 8 alleged not to have followed in adopting the rule;
- 9 (c) State the reasons why the petitioner believes that the rule is 10 not within the intent of the legislature, or that its adoption was not 11 or is not in accordance with law, and provide documentation to support 12 these statements;
- 13 (d) Identify any known judicial action regarding the rule or 14 statutes identified in the petition.

A petition to review an existing rule shall also include a copy of the agency's denial of a petition to amend or repeal the rule issued under RCW 34.05.330(1) and, if available, a copy of the governor's denial issued under RCW 34.05.330(3).

(4) A petition for review of ((a policy or interpretive statement))
an issuance other than a proposed or existing rule under subsection (1)
of this section shall:

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- (a) Identify the specific ((statement)) issuance to be reviewed;
- 23 (b) ((Identify the specific statute which the rule interprets or 24 implements;
- 25 (c))) State the reasons why the petitioner believes that the 26 ((statement)) issuance meets the definition of a de facto rule under 27 RCW 34.05.010 ((and should have been adopted according to the procedures of this chapter));
- 29  $((\frac{d}{d}))$  (c) Identify any known judicial action regarding the 30  $(\frac{d}{d})$  issuance or statutes identified in the petition.
- 31 (5) Within ninety days of receipt of the petition, the rules review 32 committee shall make a final decision on the rule <u>or other issuance</u> for 33 which the petition for review was not previously rejected.
- 34 **Sec. 7.** RCW 42.17.260 and 1995 c 397 s 11 and 1995 c 341 s 1 are 35 each reenacted and amended to read as follows:
- 36 (1) Each agency, in accordance with published rules, shall make 37 available for public inspection and copying all public records, unless 38 the record falls within the specific exemptions of subsection (6) of

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- 1 this section, RCW 42.17.310, 42.17.315, or other statute which exempts
- 2 or prohibits disclosure of specific information or records. To the
- 3 extent required to prevent an unreasonable invasion of personal privacy
- 4 interests protected by RCW 42.17.310 and 42.17.315, an agency shall
- 5 delete identifying details in a manner consistent with RCW 42.17.310
- 6 and 42.17.315 when it makes available or publishes any public record;
- 7 however, in each case, the justification for the deletion shall be
- 8 explained fully in writing.
- 9 (2) For informational purposes, each agency shall publish and
- 10 maintain a current list containing every law, other than those listed
- 11 in this chapter, that the agency believes exempts or prohibits
- 12 disclosure of specific information or records of the agency. Ar
- 13 agency's failure to list an exemption shall not affect the efficacy of
- 14 any exemption.
- 15 (3) Each local agency shall maintain and make available for public
- 16 inspection and copying a current index providing identifying
- 17 information as to the following records issued, adopted, or promulgated
- 18 after January 1, 1973:
- 19 (a) Final opinions, including concurring and dissenting opinions,
- 20 as well as orders, made in the adjudication of cases;
- 21 (b) Those statements of policy and interpretations of policy,
- 22 statute, and the Constitution which have been adopted by the agency;
- 23 (c) Administrative staff manuals and instructions to staff that
- 24 affect a member of the public;
- 25 (d) Planning policies and goals, and interim and final planning
- 26 decisions;
- 27 (e) Factual staff reports and studies, factual consultant's reports
- 28 and studies, scientific reports and studies, and any other factual
- 29 information derived from tests, studies, reports, or surveys, whether
- 30 conducted by public employees or others; and
- 31 (f) Correspondence, and materials referred to therein, by and with
- 32 the agency relating to any regulatory, supervisory, or enforcement
- 33 responsibilities of the agency, whereby the agency determines, or
- 34 opines upon, or is asked to determine or opine upon, the rights of the
- 35 state, the public, a subdivision of state government, or of any private
- 36 party.
- 37 (4) A local agency need not maintain such an index, if to do so
- 38 would be unduly burdensome, but it shall in that event:

- 1 (a) Issue and publish a formal order specifying the reasons why and 2 the extent to which compliance would unduly burden or interfere with 3 agency operations; and
- 4 (b) Make available for public inspection and copying all indexes 5 maintained for agency use.
- 6 (5) Each state agency shall, by rule, establish and implement a 7 system of indexing for the identification and location of the following 8 records:
- 9 (a) All records issued before July 1, 1990, for which the agency 10 has maintained an index;
- (b) Final orders entered after June 30, 1990, that are issued in adjudicative proceedings as defined in RCW 34.05.010((\(\frac{1}{1}\))) and that contain an analysis or decision of substantial importance to the agency in carrying out its duties;
- 15 (c) Declaratory orders entered after June 30, 1990, that are issued 16 pursuant to RCW 34.05.240 and that contain an analysis or decision of 17 substantial importance to the agency in carrying out its duties;
- 18 (d) Interpretive statements as defined in RCW 34.05.010( $(\frac{(8)}{(8)})$ ) that 19 were entered after June 30, 1990; and
- (e) Policy statements as defined in RCW 34.05.010(((14))) that were entered after June 30, 1990.

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Rules establishing systems of indexing shall include, but not be limited to, requirements for the form and content of the index, its location and availability to the public, and the schedule for revising or updating the index. State agencies that have maintained indexes for records issued before July 1, 1990, shall continue to make such indexes available for public inspection and copying. Information in such indexes may be incorporated into indexes prepared pursuant to this subsection. State agencies may satisfy the requirements of this subsection by making available to the public indexes prepared by other parties but actually used by the agency in its operations. State agencies shall make indexes available for public inspection and copying. State agencies may charge a fee to cover the actual costs of providing individual mailed copies of indexes.

- 35 (6) A public record may be relied on, used, or cited as precedent 36 by an agency against a party other than an agency and it may be invoked 37 by the agency for any other purpose only if«
  - (a) It has been indexed in an index available to the public; or

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1 (b) Parties affected have timely notice (actual or constructive) of 2 the terms thereof.

- (7) Each agency shall establish, maintain, and make available for public inspection and copying a statement of the actual per page cost or other costs, if any, that it charges for providing photocopies of public records and a statement of the factors and manner used to determine the actual per page cost or other costs, if any.
- (a) In determining the actual per page cost for providing photocopies of public records, an agency may include all costs directly incident to copying such public records including the actual cost of the paper and the per page cost for use of agency copying equipment. In determining other actual costs for providing photocopies of public records, an agency may include all costs directly incident to shipping such public records, including the cost of postage or delivery charges and the cost of any container or envelope used.
- (b) In determining the actual per page cost or other costs for providing copies of public records, an agency may not include staff salaries, benefits, or other general administrative or overhead charges, unless those costs are directly related to the actual cost of copying the public records. Staff time to copy and mail the requested public records may be included in an agency's costs.
- (8) An agency need not calculate the actual per page cost or other costs it charges for providing photocopies of public records if to do so would be unduly burdensome, but in that event: The agency may not charge in excess of fifteen cents per page for photocopies of public records or for the use of agency equipment to photocopy public records and the actual postage or delivery charge and the cost of any container or envelope used to mail the public records to the requestor.
- (9) This chapter shall not be construed as giving authority to any agency, the office of the secretary of the senate, or the office of the chief clerk of the house of representatives to give, sell or provide access to lists of individuals requested for commercial purposes, and agencies, the office of the secretary of the senate, and the office of the chief clerk of the house of representatives shall not do so unless specifically authorized or directed by law: PROVIDED, HOWEVER, That lists of applicants for professional licenses and of professional licensees shall be made available to those professional associations or educational organizations recognized by their professional licensing or examination board, upon payment of a reasonable charge therefor:

- 1 PROVIDED FURTHER, That such recognition may be refused only for a good
- 2 cause pursuant to a hearing under the provisions of chapter 34.05 RCW,
- 3 the Administrative Procedure Act.

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4 **Sec. 8.** RCW 51.04.030 and 1994 c 164 s 25 are each amended to read 5 as follows:

The director shall supervise the providing of prompt and efficient care and treatment, including care provided by physician assistants governed by the provisions of chapters 18.57A and 18.71A RCW, acting under a supervising physician, and including chiropractic care, to workers injured during the course of their employment at the least cost consistent with promptness and efficiency, without discrimination or favoritism, and with as great uniformity as the various and diverse surrounding circumstances and locations of industries will permit and to that end shall, from time to time, establish and adopt and supervise the administration of printed forms, rules, regulations, and practices for the furnishing of such care and treatment: PROVIDED, That, the department may recommend to an injured worker particular health care services and providers where specialized treatment is indicated or where cost effective payment levels or rates are obtained by the department: AND PROVIDED FURTHER, That the department may enter into contracts for goods and services including, but not limited to, durable medical equipment so long as state-wide access to quality service is maintained for injured workers.

The director shall, in consultation with interested persons, establish and, in his or her discretion, periodically change as may be necessary, and make available a fee schedule of the maximum charges to be made by any physician, surgeon, chiropractor, hospital, druggist, physicians' assistants as defined in chapters 18.57A and 18.71A RCW, acting under a supervising physician or other agency or person rendering services to injured workers. The department shall coordinate with other state purchasers of health care services to establish as much consistency and uniformity in billing and coding practices as possible, taking into account the unique requirements and differences between programs. No service covered under this title shall be charged or paid at a rate or rates exceeding those specified in such fee schedule, and no contract providing for greater fees shall be valid as to the excess. The establishment of such a schedule, exclusive of conversion factors, does not constitute "agency action" as used in RCW

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1 34.05.010(((3))), nor does such a fee schedule constitute a "de facto rule" as used in RCW 34.05.010(((15))).

The director or self-insurer, as the case may be, shall make a 3 4 record of the commencement of every disability and the termination thereof and, when bills are rendered for the care and treatment of 5 injured workers, shall approve and pay those which conform to the 6 adopted rules, regulations, established fee schedules, and practices of 7 8 the director and may reject any bill or item thereof incurred in 9 violation of the principles laid down in this section or the rules, 10 regulations, or the established fee schedules and rules and regulations adopted under it. 11

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