

5796-S

Sponsor(s): Senate Committee on Labor & Commerce (originally sponsored by Senators Moore and Pelz)

Brief Description: Prohibiting sellers of securities from charging customers due to a low number of transactions in an account or for transferring an account.

**SB 5796-S - DIGEST**

(DIGEST OF PROPOSED 1ST SUBSTITUTE)

Prohibits sellers of securities from charging customers due to a low number of transactions in an account or for transferring an account.

Authorizes a customer to sign a disclosure document agreeing to a particular fee.