2 **E2SHB 2154** - S COMM AMD

civil and legal rights.

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3 By Committee on Health & Human Services

ADOPTED 3/4/94

5 Strike everything after the enacting clause and insert the 6 following:

- 7 "NEW SECTION. Sec. 1. INTENT. The legislature recognizes that 8 long-term care facilities are a critical part of the state's long-term 9 care services system. It is the intent of the legislature that 10 individuals who reside in long-term care facilities receive appropriate 11 services, be treated with courtesy, and continue to enjoy their basic
- It is also the intent of the legislature that long-term care facility residents have the opportunity to exercise reasonable control over life decisions. The legislature finds that choice, participation, privacy, and the opportunity to engage in religious, political, civic, recreational, and other social activities foster a sense of self-worth and enhance the quality of life for long-term care residents.
- 19 The legislature finds that the public interest would be best served 20 by providing the same basic resident rights in all long-term care 21 settings. Residents in nursing facilities are guaranteed certain 22 rights by federal law and regulation, 42 U.S.C. 1396r and 42 C.F.R. 23 part 483. It is the intent of the legislature to extend those basic 24 rights to residents in veterans' homes, boarding homes, and adult 25 family homes.
- The legislature intends that a facility should care for its residents in a manner and in an environment that promotes maintenance or enhancement of each resident's quality of life. A resident should have a safe, clean, comfortable, and homelike environment, allowing the resident to use his or her personal belongings to the extent possible.
- NEW SECTION. Sec. 2. DEFINITIONS. Unless the context clearly requires otherwise, the definitions in this section apply throughout this chapter.
- 34 (1) "Department" means the department of state government 35 responsible for licensing the provider in question.

- 1 (2) "Facility" means a long-term care facility.
- 2 (3) "Long-term care facility" means a facility that is licensed or required to be licensed under chapter 18.20, 72.36, or 70.128 RCW.
- 4 (4) "Resident" means the individual receiving services in a long-5 term care facility, that resident's attorney in fact, guardian, or 6 other legal representative acting within the scope of their authority.
- 7 (5) "Physical restraint" means a manual method, obstacle, or 8 physical or mechanical device, material, or equipment attached or 9 adjacent to the resident's body that restricts freedom of movement or 10 access to his or her body is used for discipline or convenience and not 11 required to treat the resident's medical symptoms.
- 12 (6) "Chemical restraint" means a psychopharmacologic drug that is 13 used for discipline or convenience and not required to treat the 14 resident's medical symptoms.
- 15 (7) "Representative" means a person appointed under RCW 7.70.065.
- NEW SECTION. Sec. 3. EXERCISE OF RIGHTS. The resident has a right to a dignified existence, self-determination, and communication with and access to persons and services inside and outside the facility. A facility must protect and promote the rights of each resident and assist the resident which include:
- 21 (1) The resident has the right to exercise his or her rights as a 22 resident of the facility and as a citizen or resident of the United 23 States and the state of Washington.
- (2) The resident has the right to be free of interference, coercion, discrimination, and reprisal from the facility in exercising his or her rights.
- 27 (3) In the case of a resident adjudged incompetent by a court of 28 competent jurisdiction, the rights of the resident are exercised by the 29 person appointed to act on the resident's behalf.
- 30 (4) In the case of a resident who has not been adjudged incompetent 31 by a court of competent jurisdiction, a representative may exercise the 32 resident's rights to the extent provided by law.
- NEW SECTION. Sec. 4. NOTICE OF RIGHTS AND SERVICES. (1) The facility must inform the resident both orally and in writing in a language that the resident understands of his or her rights and all rules and regulations governing resident conduct and responsibilities during the stay in the facility. The notification must be made prior

- 1 to or upon admission. Receipt of the information must be acknowledged
 2 in writing.
 - (2) The resident or his or her legal representative has the right:
- 4 (a) Upon an oral or written request, to access all records 5 pertaining to himself or herself including clinical records within 6 twenty-four hours; and
 - (b) After receipt of his or her records for inspection, to purchase at a cost not to exceed the community standard photocopies of the records or portions of them upon request and two working days' advance notice to the facility.
- 11 (3) The facility must inform each resident in writing before, or at
 12 the time of admission, and at least once every twenty-four months
 13 thereafter of: (a) Services available in the facility; (b) charges for
 14 those services including charges for services not covered by the
 15 facility's per diem rate or applicable public benefit programs; and (c)
 16 the rules of operations required under section 15(2) of this act.
- 17 (4) The facility must furnish a written description of residents 18 rights that includes:
- 19 (a) A description of the manner of protecting personal funds, under 20 section 5 of this act;
 - (b) A posting of names, addresses, and telephone numbers of the state survey and certification agency, the state licensure office, the state ombudsmen program, and the protection and advocacy systems; and
 - (c) A statement that the resident may file a complaint with the appropriate state licensing agency concerning resident abuse, neglect, and misappropriation of resident property in the facility.
- 27 (5) Notification of changes.

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- 28 (a) A facility must immediately consult with the resident's 29 physician, and if known, make reasonable efforts to notify the 30 resident's legal representative or an interested family member when 31 there is:
- 32 (i) An accident involving the resident which requires or has the 33 potential for requiring physician intervention;
- (ii) A significant change in the resident's physical, mental, or psychosocial status (i.e., a deterioration in health, mental, or psychosocial status in either life-threatening conditions or clinical complications).

- 1 (b) The facility must promptly notify the resident or the 2 resident's representative shall make reasonable efforts to notify an 3 interested family member, if known, when there is:
 - (i) A change in room or roommate assignment; or

- 5 (ii) A decision to transfer or discharge the resident from the 6 facility.
- 7 (c) The facility must record and update the address and phone 8 number of the resident's representative or interested family member, 9 upon receipt of notice from them.
- NEW SECTION. Sec. 5. PROTECTION OF RESIDENT'S FUNDS. (1) The resident has the right to manage his or her financial affairs, and the facility may not require residents to deposit their personal funds with the facility.
- (2) Upon written authorization of a resident, if the facility agrees to manage the resident's personal funds, the facility must hold, safeguard, manage, and account for the personal funds of the resident deposited with the facility as specified in this section.
- (3)(a) The facility must deposit a resident's personal funds in excess of one hundred dollars in an interest-bearing account or accounts that is separate from any of the facility's operating accounts, and that credits all interest earned on residents' funds to that account. In pooled accounts, there must be a separate accounting for each resident's share.
- (b) The facility must maintain a resident's personal funds that do not exceed one hundred dollars in a noninterest-bearing account, interest-bearing account, or petty cash fund.
- 27 (4) The facility must establish and maintain a system that assures 28 a full and complete and separate accounting of each resident's personal 29 funds entrusted to the facility on the resident's behalf.
- 30 (a) The system must preclude any commingling of resident funds with 31 facility funds or with the funds of any person other than another 32 resident.
- 33 (b) The individual financial record must be available on request to 34 the resident or his or her legal representative.
- (5) Upon the death of a resident with a personal fund deposited with the facility the facility must convey within forty-five days the resident's funds, and a final accounting of those funds, to the individual or probate jurisdiction administering the resident's estate.

- 1 <u>NEW SECTION.</u> **Sec. 6.** PRIVACY AND CONFIDENTIALITY. The resident
- 2 has the right to personal privacy and confidentiality of his or her
- 3 personal and clinical records.
- 4 (1) Personal privacy includes accommodations, medical treatment,
- 5 written and telephone communications, personal care, visits, and
- 6 meetings of family and resident groups. This does not require the
- 7 facility to provide a private room for each resident however, a
- 8 resident cannot be prohibited by the facility from meeting with guests
- 9 in his or her bedroom if no roommates object.
- 10 (2) The resident may approve or refuse the release of personal and
- 11 clinical records to an individual outside the facility unless otherwise
- 12 provided by law.
- 13 <u>NEW SECTION.</u> **Sec. 7.** GRIEVANCES. A resident has the right to:
- 14 (1) Voice grievances. Such grievances include those with respect to
- 15 treatment that has been furnished as well as that which has not been
- 16 furnished; and
- 17 (2) Prompt efforts by the facility to resolve grievances the
- 18 resident may have, including those with respect to the behavior of
- 19 other residents.
- 20 <u>NEW SECTION.</u> **Sec. 8.** EXAMINATION OF SURVEY OR INSPECTION RESULTS.
- 21 A resident has the right to:
- 22 (1) Examine the results of the most recent survey or inspection of
- 23 the facility conducted by federal or state surveyors or inspectors and
- 24 plans of correction in effect with respect to the facility. A notice
- 25 that the results are available must be publicly posted with the
- 26 facility's state license, and the results must be made available for
- 27 examination by the facility in a place readily accessible to residents;
- 28 and
- 29 (2) Receive information from agencies acting as client advocates,
- 30 and be afforded the opportunity to contact these agencies.
- 31 <u>NEW SECTION.</u> **Sec. 9.** MAIL AND TELEPHONE. The resident has the
- 32 right to privacy in communications, including the right to:
- 33 (1) Send and promptly receive mail that is unopened;
- 34 (2) Have access to stationery, postage, and writing implements at
- 35 the resident's own expense; and

- 1 (3) Have reasonable access to the use of a telephone where calls 2 can be made without being overheard.
- NEW SECTION. Sec. 10. ACCESS AND VISITATION RIGHTS. (1) The resident has the right and the facility must not interfere with access to any resident by the following:
 - (a) Any representative of the state;

- (b) The resident's individual physician;
- 8 (c) The state long-term care ombudsman as established under chapter 9 43.190 RCW;
- 10 (d) The agency responsible for the protection and advocacy system 11 for developmentally disabled individuals as established under part C of 12 the developmental disabilities assistance and bill of rights act;
- (e) The agency responsible for the protection and advocacy system for mentally ill individuals as established under the protection and advocacy for mentally ill individuals act;
- (f) Subject to reasonable restrictions to protect the rights of others and to the resident's right to deny or withdraw consent at any time, immediate family or other relatives of the resident and others who are visiting with the consent of the resident;
- (g) The agency responsible for the protection and advocacy system for individuals with disabilities as established under section 509 of the rehabilitation act of 1973, as amended, who are not served under the mandates of existing protection and advocacy systems created under federal law.
- (2) The facility must provide reasonable access to a resident by his or her representative or an entity or individual that provides health, social, legal, or other services to the resident, subject to the resident's right to deny or withdraw consent at any time.
- 29 (3) The facility must allow representatives of the state ombudsman 30 to examine a resident's clinical records with the permission of the 31 resident or the resident's legal representative, and consistent with 32 state and federal law.
- NEW SECTION. Sec. 11. PERSONAL PROPERTY. (1) The resident has the right to retain and use personal possessions, including some furnishings, and appropriate clothing, as space permits, unless to do so would infringe upon the rights or health and safety of other residents.

- 1 (2) The facility shall, upon request, provide the resident with a
- 2 lockable container or other lockable storage space for small items of
- 3 personal property, unless the resident's individual room is lockable
- 4 with a key issued to the resident.
- 5 NEW SECTION. Sec. 12. TRANSFER AND DISCHARGE REQUIREMENTS. (1)
- 6 The facility must permit each resident to remain in the facility, and
- 7 not transfer or discharge the resident from the facility unless:
- 8 (a) The transfer or discharge is necessary for the resident's
- 9 welfare and the resident's needs cannot be met in the facility;
- 10 (b) The safety of individuals in the facility is endangered;
- 11 (c) The health of individuals in the facility would otherwise be 12 endangered;
- 13 (d) The resident has failed to make the required payment for his or
- 14 her stay; or
- 15 (e) The facility ceases to operate.
- 16 (2) Before a facility transfers or discharges a resident, the
- 17 facility must:
- 18 (a) Notify the resident and representative and make a reasonable
- 19 effort to notify, if known, an interested family member of the transfer
- 20 or discharge and the reasons for the move in writing and in a language
- 21 and manner they understand;
- (b) Record the reasons in the resident's record; and
- 23 (c) Include in the notice the items described in subsection (4) of
- 24 this section.
- 25 (3)(a) Except when specified in this subsection, the notice of
- 26 transfer of discharge required under subsection (2) of this section
- 27 must be made by the facility at least thirty days before the resident
- 28 is transferred or discharged.
- 29 (b) Notice may be made as soon as practicable before transfer or
- 30 discharge when:
- 31 (i) The safety of individuals in the facility would be endangered;
- 32 (ii) The health of individuals in the facility would be endangered;
- 33 (iii) An immediate transfer or discharge is required by the
- 34 resident's urgent medical needs; or
- 35 (iv) A resident has not resided in the facility for thirty days.
- 36 (4) The written notice specified in subsection (2) of this section
- 37 must include the following:
- 38 (a) The reason for transfer or discharge;

- 1 (b) The effective date of transfer or discharge;
- 2 (c) The location to which the resident is transferred or 3 discharged;
- 4 (d) The name, address, and telephone number of the state long-term 5 care ombudsman;
- 6 (e) For residents with developmental disabilities, the mailing 7 address and telephone number of the agency responsible for the 8 protection and advocacy of developmentally disabled individuals 9 established under part C of the developmental disabilities assistance 10 and bill of rights act; and
- (f) For residents who are mentally ill, the mailing address and telephone number of the agency responsible for the protection and advocacy of mentally ill individuals established under the protection and advocacy for mentally ill individuals act.
- 15 (5) A facility must provide sufficient preparation and orientation 16 to residents to ensure safe and orderly transfer or discharge from the 17 facility.
- 18 (6) A resident discharged in violation of this section has the 19 right to be readmitted immediately upon the first availability of a 20 gender-appropriate bed in the facility.
- NEW SECTION. Sec. 13. RESTRAINTS. The resident has the right to be free from physical restraint or chemical restraint. This section does not require or prohibit facility staff from reviewing the judgment of the resident's physician in prescribing psychopharmacologic medications.
- NEW SECTION. Sec. 14. ABUSE. The resident has the right to be free from verbal, sexual, physical, and mental abuse, corporal punishment, and involuntary seclusion.
- 29 (1) The facility must not use verbal, mental, sexual, or physical 30 abuse, including corporal punishment or involuntary seclusion.
- 31 (2) Subject to available resources, the department of social and 32 health services shall provide background checks required by RCW 33 43.43.842 for employees of facilities licensed under chapter 18.20 RCW 34 without charge to the facility.
- NEW SECTION. Sec. 15. QUALITY OF LIFE. (1) The facility must promote care for residents in a manner and in an environment that

- 1 maintains or enhances each resident's dignity and respect in full 2 recognition of his or her individuality.
- 3 (2) Within reasonable facility rules designed to protect the rights 4 and quality of life of residents, the resident has the right to:
- 5 (a) Choose activities, schedules, and health care consistent with 6 his or her interests, assessments, and plans of care;
- 7 (b) Interact with members of the community both inside and outside 8 the facility;
- 9 (c) Make choices about aspects of his or her life in the facility 10 that are significant to the resident;
- 11 (d) Wear his or her own clothing and determine his or her own 12 dress, hair style, or other personal effects according to individual 13 preference;
- (e) Unless adjudged incompetent or otherwise found to be legally incapacitated, participate in planning care and treatment or changes in care and treatment;
- (f) Unless adjudged incompetent or otherwise found to be legally incapacitated, to direct his or her own service plan and changes in the service plan, and to refuse any particular service so long as such refusal is documented in the record of the resident.
- 21 (3)(a) A resident has the right to organize and participate in 22 resident groups in the facility.
- 23 (b) A resident's family has the right to meet in the facility with 24 the families of other residents in the facility.
- 25 (c) The facility must provide a resident or family group, if one 26 exists, with meeting space.
- 27 (d) Staff or visitors may attend meetings at the group's 28 invitation.
- (e) When a resident or family group exists, the facility must listen to the views and act upon the grievances and recommendations of residents and families concerning proposed policy and operational decisions affecting resident care and life in the facility.
- 33 (f) The resident has the right to refuse to perform services for 34 the facility except as voluntarily agreed by the resident and the 35 facility in the resident's service plan.
- 36 (4) A resident has the right to participate in social, religious, 37 and community activities that do not interfere with the rights of other 38 residents in the facility.
 - (5) A resident has the right to:

- 1 (a) Reside and receive services in the facility with reasonable 2 accommodation of individual needs and preferences, except when the 3 health or safety of the individual or other residents would be 4 endangered; and
- 5 (b) Receive notice before the resident's room or roommate in the 6 facility is changed.
- 7 (6) A resident has the right to share a double room with his or her 8 spouse when married residents live in the same facility and both 9 spouses consent to the arrangement.
- 10 NEW SECTION. Sec. 16. FEE DISCLOSURE--DEPOSITS. (1) Prior to admission, all long-term care facilities or nursing facilities licensed 11 12 under chapter 18.51 RCW that require payment of an admissions fee, deposit, or a minimum stay fee, by or on behalf of a person seeking 13 14 admissions to the long-term care facility or nursing facility, shall 15 provide the resident, or his or her representative, full disclosure in writing of the long-term care facility or nursing facility's schedule 16 of charges for items and services provided by the facility and the 17 18 amount of any admissions fees, deposits, or minimum stay fees. 19 addition, the long-term care facility or nursing facility shall also fully disclose in writing prior to admission what portion of the 20 deposits, admissions fees, or minimum stay fees will be refunded to the 21 resident or his or her representative if the resident leaves the long-22 23 term care facility or nursing facility. If a resident, during the first 24 thirty days of residence, dies or is hospitalized and does not return 25 to the facility, the facility shall refund any deposit already paid less the facility's per diem rate for the days the resident actually 26 27 resided or reserved a bed in the facility notwithstanding any minimum All long-term care facilities or nursing facilities 28 stay policy. 29 covered under this section are required to refund any and all refunds 30 due the resident or their representative within thirty days from the resident's date of discharge from the facility. 31 Nothing in this section applies to provisions in contracts negotiated between a nursing 32 33 facility or long-term care facility and a certified health plan, health 34 or disability insurer, health maintenance organization, managed care organization, or similar entities. 35
- 36 (2) Where a long-term care facility or nursing facility requires 37 the execution of an admission contract by or on behalf of an individual

- seeking admission to the facility, the terms of the contract shall be consistent with the requirements of this section.
- NEW SECTION. Sec. 17. LIABILITY MAY NOT BE WAIVED. No long-term care facility or nursing facility licensed under chapter 18.51 RCW shall require residents to sign waivers of potential liability for losses of personal property.
- 7 NEW SECTION. Sec. 18. OMBUDSMAN IMPLEMENTATION DUTIES. The long-8 term care ombudsman shall monitor implementation of this chapter and determine the degree to which veterans' homes, nursing facilities, 9 adult family homes, and boarding homes ensure that residents are able 10 11 to exercise their rights. The long-term care ombudsman shall consult with the departments of health and social and health services, long-12 13 term care facility organizations, resident groups, and senior and 14 citizen organizations and to the report representatives committee on health care and the senate committee on 15 health and human services concerning the implementation of this chapter 16 17 with any applicable recommendations by July 1, 1995.
- NONJUDICIAL REMEDIES THROUGH REGULATORY 18 NEW SECTION. Sec. 19. AUTHORITIES ENCOURAGED -- REMEDIES CUMULATIVE. The legislature intends 19 that long-term care facility or nursing home residents, their family 20 21 members or quardians, the long-term care ombudsman, protection and 22 advocacy personnel identified in section 12(4) (e) and (f), and others 23 who may seek to assist long-term care facility or nursing home residents, use the least formal means available to satisfactorily 24 25 resolve disputes that may arise regarding the rights conferred by the provisions of sections 1 through 24 of this act. Wherever feasible, 26 27 direct discussion with facility personnel or administrators should be Failing that, and where feasible, recourse may be sought 28 through state or federal long-term care or nursing home licensing or 29 other regulatory authorities. However, the procedures suggested in 30 this section are cumulative and shall not restrict an agency or person 31 32 from seeking a remedy provided by law or from obtaining additional relief based on the same facts, including any remedy available to an 33 34 individual at common law. This act is not intended to, and shall not 35 be construed to, create any right of action on the part of any 36 individual beyond those in existence under any common law or statutory

- 1 doctrine. This act is not intended to, and shall not be construed to,
- 2 operate in derogation of any right of action on the part of any
- 3 individual in existence on the effective date of this act.
- 4 NEW SECTION. Sec. 20. RIGHTS ARE MINIMAL. The rights set forth
- 5 in this chapter are the minimal rights guaranteed to all residents of
- 6 long-term care facilities, and are not intended to diminish rights set
- 7 forth in other state or federal laws that may contain additional
- 8 rights.
- 9 <u>NEW SECTION.</u> **Sec. 21.** A new section is added to chapter 18.20 RCW
- 10 to read as follows:
- BOARDING HOMES. Sections 1 through 4, 5(1), and 6 through 20 of
- 12 this act apply to this chapter and persons regulated under this
- 13 chapter.
- 14 <u>NEW SECTION.</u> **Sec. 22.** A new section is added to chapter 18.51 RCW
- 15 to read as follows:
- 16 NURSING HOMES. Sections 16 through 20 of this act apply to this
- 17 chapter and persons regulated under this chapter.
- 18 <u>NEW SECTION.</u> **Sec. 23.** A new section is added to chapter 72.36 RCW
- 19 to read as follows:
- 20 VETERAN HOME. Chapter 70.-- RCW (sections 1 through 20 of this
- 21 act) applies to this chapter and persons regulated under this chapter.
- NEW SECTION. Sec. 24. A new section is added to chapter 70.128
- 23 RCW to read as follows:
- 24 ADULT HOMES. Sections 1 through 4, 5(1), and 6 through 20 of this
- 25 act apply to this chapter and persons regulated under this chapter.
- 26 Sec. 25. RCW 18.20.120 and 1957 c 253 s 12 are each amended to
- 27 read as follows:
- 28 All information received by the department or authorized health
- 29 department through filed reports, inspections, or as otherwise
- 30 authorized under this chapter, shall not be disclosed publicly in any
- 31 manner as to identify individuals or boarding homes, except ((in a
- 32 proceeding involving the question of licensure)) at the specific

- 1 request of a member of the public and disclosure is consistent with RCW
- $2 \quad \underline{42.17.260(1)}$.
- 3 <u>NEW SECTION.</u> Sec. 26. SEVERABILITY. If any provision of this act
- 4 or its application to any person or circumstance is held invalid, the
- 5 remainder of the act or the application of the provision to other
- 6 persons or circumstances is not affected.
- 7 <u>NEW SECTION.</u> **Sec. 27.** FEDERAL SEVERABILITY. If any part of this
- 8 act is found to be in conflict with federal requirements that are a
- 9 prescribed condition to the allocation of federal funds to the state,
- 10 the conflicting part of this act is inoperative solely to the extent of
- 11 the conflict and with respect to the agencies directly affected, and
- 12 this finding does not affect the operation of the remainder of this act
- 13 in its application to the agencies concerned. The rules under this act
- 14 shall meet federal requirements that are a necessary condition to the
- 15 receipt of federal funds by the state.
- 16 <u>NEW SECTION.</u> **Sec. 28.** CAPTIONS. Captions as used in this act
- 17 constitute no part of the law.
- 18 <u>NEW SECTION.</u> **Sec. 29.** CODIFICATION. Sections 1 through 20 of
- 19 this act shall constitute a new chapter in Title 70 RCW.
- 20 NEW SECTION. Sec. 30. Nothing in this act shall affect the
- 21 classifying of an adult family home for the purposes of zoning."
- 22 **E2SHB 2154** S COMM AMD
- 23 By Committee on Health & Human Services

24 ADOPTED 3/4/94

- On page 1, line 1 of the title, after "facilities;" strike the
- 26 remainder of the title and insert "amending RCW 18.20.120; adding a new
- 27 section to chapter 18.20 RCW; adding a new section to chapter 18.51
- 28 RCW; adding a new section to chapter 72.36 RCW; adding a new section to
- 29 chapter 70.128 RCW; adding a new chapter to Title 70 RCW; and creating
- 30 new sections."