SUBSTITUTE HOUSE BILL 2877

State of Washington 52nd Legislature 1992 Regular Session

By House Committee on State Government (originally sponsored by Representatives Anderson, McLean, Sheldon, Bowman and Pruitt)

Read first time 02/07/92.

- 1 AN ACT Relating to the registration of geologists; adding a new
- 2 chapter to Title 18 RCW; prescribing penalties; and making an
- 3 appropriation.
- 4 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- 5 <u>NEW SECTION.</u> **Sec. 1.** In order to safeguard life, health, and
- 6 property, and to promote the public welfare, the legislature finds that
- 7 it is in the public interest to regulate the profession of geology as
- 8 described in this chapter. No person may practice or offer to practice
- 9 the profession of geology or engineering geology unless registered or
- 10 exempted from registration as provided by this chapter.
- 11 <u>NEW SECTION.</u> **Sec. 2.** As used in this chapter, the following
- 12 terms shall have the meanings ascribed to them in this section:
- 13 "Board" means the board of registration for geologists.

- 1 "Department" means the department of licensing.
- 2 "Director" means the director of the department of licensing.
- 3 "Engineering geologist" means a geologist who, by reason of his or
- 4 her knowledge of engineering geology, acquired by education and
- 5 practical experience, is qualified to engage in the practice of
- 6 engineering geology.
- 7 "Engineering geology" means a specialty of geology affecting (1)
- 8 the planning, design, operation, and maintenance of civil engineering
- 9 works, (2) the development, protection, and remediation of ground and
- 10 surface water resources, and (3) other human activities where geologic
- 11 factors and conditions impact the public welfare or the safeguarding of
- 12 life, health, property, and the environment.
- 13 "Geologist" means a person who, by reason of his or her knowledge
- 14 of geology, mathematics, and the supporting physical and life sciences,
- 15 acquired by education and practical experience, is qualified to engage
- 16 in the practice of geology.
- 17 "Geology" means the science that includes treatment of the earth
- 18 and its origin and history, in general; the investigation of the
- 19 earth's constituent rocks, minerals, solids, fluids including surface
- 20 and underground waters, gases, and other materials; and the study of
- 21 natural agents, forces, and processes that cause changes in the earth.
- 22 "Practice of engineering geology" means performance of geological
- 23 service or work including but not limited to consultation,
- 24 investigation, evaluation, planning, surveying, mapping, and inspection
- 25 of geological work, and the responsible supervision thereof, the
- 26 performance of which is related to public welfare or the safeguarding
- 27 of life, health, property, and the environment, except as otherwise
- 28 specifically provided by this chapter; including, but not limited to,
- 29 the commonly recognized geologic practices of construction geology,
- 30 hydrogeology, environmental geology, and urban geology. Nothing herein

- 1 shall be construed to permit the practice of engineering by a
- 2 geologist, except such practice as is incidental to the practice of
- 3 geology or engineering geology and does not include the design of an
- 4 engineered structure.
- 5 "Practice of geology" means performance of geological service or
- 6 work including, but not limited to, consultation, investigation,
- 7 evaluation, planning, surveying, mapping, and inspection of geological
- 8 work, and the responsible supervision thereof, the performance of which
- 9 is related to public welfare or the safeguarding of life, health,
- 10 property, and the environment, except as otherwise specifically
- 11 provided by this chapter. A person shall be construed to practice or
- 12 offer to practice geology, within the meaning and intent of this
- 13 chapter, who practices any branch of the profession of geology; or who
- 14 by verbal claim, sign, advertisement, letterhead, card, or in any other
- 15 way represents himself or herself to be a geologist; or through the use
- 16 of some other title that implies that he or she is a geologist or that
- 17 he or she is registered under this chapter; or who holds himself or
- 18 herself out as able to perform or who does perform any geologic
- 19 services or work recognized by the board as the practice of geology.
- 20 "Registered engineering geologist" means a registered geologist who
- 21 has met the academic and experience qualifications in engineering
- 22 geology established pursuant to this chapter and has been issued a
- 23 certificate of registration in engineering geology by the board.
- "Registered geologist" means a geologist who has met the academic
- 25 and experience qualifications established pursuant to this chapter and
- 26 who has been issued a certificate of registration as a geologist by the
- 27 board.
- 28 "Responsible charge" means the independent control and direction,
- 29 by use of initiative, skill, and independent judgment, of geological
- 30 work or the supervision of such work.

- 1 "Subordinate" means any person who assists in the practice of
- 2 geology by a registered geologist or an exempt person, without assuming
- 3 the responsible charge of the work.
- 4 <u>NEW SECTION.</u> **Sec. 3.** There is hereby created within the
- 5 department of licensing, the board of registration for geologists. The
- 6 board shall be composed of seven members, to be appointed by the
- 7 governor. Three of the members shall be geologists registered pursuant
- 8 to this chapter, and three of the members shall be engineering
- 9 geologists registered pursuant to this chapter. The seventh member
- 10 shall be a lay member of the general public with no family or business
- 11 connection to the practice of geology. Each member of the board shall
- 12 be a citizen of the United States, shall have been a resident of this
- 13 state for at least three years immediately preceding his or her
- 14 appointment, and shall be at least twenty-five years of age. In making
- 15 appointments to the board, the governor shall make every effort to see
- 16 that women, minorities, and geographic regions of the state are
- 17 appropriately represented. The members initially appointed to the
- 18 board shall be exempt from the registration requirement.
- 19 Members of the board shall be appointed for terms of four years,
- 20 except for the initial board appointments which shall be made as
- 21 follows: The first appointee shall serve a term of one year; the
- 22 second and third appointees shall serve terms of two years; the fourth
- 23 and fifth appointees shall serve terms of three years; and the sixth
- 24 and seventh appointees shall serve terms of four years. Members shall
- 25 serve on the board until the expiration of the term for which they were
- 26 appointed and until their successors have been appointed and qualified.
- 27 No member of the board may serve more than two consecutive full terms.
- 28 Vacancies in the membership of the board shall be filled for the
- 29 unexpired term by gubernatorial appointment.

- 1 The governor may remove or suspend any member of the board for any
- 2 of the following causes: (1) If the member is no longer qualified for
- 3 appointment to the board; (2) misconduct, incompetence, neglect of
- 4 official duties, or malfeasance; (3) commission of a felony or
- 5 violation of the provisions of this chapter resulting in disciplinary
- 6 action; or (4) an unexcused failure to attend at least one-half of the
- 7 regularly scheduled meetings held in a calendar year during the
- 8 member's term in office.
- 9 Each member of the board shall be compensated in accordance with
- 10 RCW 43.03.240 and shall be reimbursed for travel expenses incurred in
- 11 carrying out provisions of this chapter in accordance with RCW
- 12 43.03.050 and 43.03.060.
- Each present and former member of the board, its agents, employees,
- 14 and members of committees duly appointed by the board under this
- 15 chapter to assist the board in carrying out its duties and
- 16 responsibilities, shall be exempt from civil liability for any act or
- 17 omission occurring while acting in an official capacity, and the
- 18 attorney general shall defend any such person in any action against the
- 19 board or such person arising from any such act or omission.
- 20 <u>NEW SECTION.</u> **Sec. 4.** (1) The board shall meet within sixty
- 21 days after appointment of its members. The board shall hold at least
- 22 two regular meetings each year. Special meetings shall be held at such
- 23 time as the rules of the board may provide and in accordance with the
- 24 notice requirements thereof. The board shall elect annually from its
- 25 membership, a chair, a vice-chair, and a secretary-treasurer, none of
- 26 whom shall hold that office for more than two consecutive one-year
- 27 terms. With the advice and approval of the director, the board may
- 28 employ personnel and arrange for assistance as it requires to carry out

- 1 its duties and responsibilities under this chapter. A majority of the
- 2 board shall constitute a quorum.
- 3 (2) The board shall adopt rules pursuant to chapter 34.05 RCW for
- 4 the administration of this chapter, the performance of its duties, the
- 5 governing of its proceedings, and the regulation of the practice of
- 6 geology and engineering geology in this state.
- 7 (3) The board shall have the following powers and duties:
- 8 (a) The board and the director shall jointly establish fees to be
- 9 charged applicants and registrants in connection with and to support
- 10 the administration and enforcement of this chapter. Fees shall be set
- 11 at a level adequate to pay the costs of administering this chapter. In
- 12 addition, additional fees shall be charged for the purpose of repaying
- 13 by June 30, 1997, the initial general fund appropriation for
- 14 administering this chapter;
- 15 (b) The board shall consider all applications for registration as
- 16 a geologist or an engineering geologist;
- 17 (c) The board shall prepare, administer, and grade, or cause to be
- 18 prepared, administered, and graded, oral and written examinations
- 19 required or established by this chapter. The board may adopt or
- 20 recognize, in whole or in part, examinations prepared, administered, or
- 21 graded by other organizations that the board determines are appropriate
- 22 measures of the qualifications of an applicant for registration in this
- 23 state as a geologist or an engineering geologist;
- 24 (d) The board shall issue certificates of registration as provided
- 25 in this chapter;
- 26 (e) The board shall renew and reissue certificates of registration
- 27 as provided in this chapter. As a condition of reissuance or renewal,
- 28 the board shall have the authority to require evidence of continued
- 29 competence in the practice of geology or engineering geology through
- 30 means such as but not limited to the review of qualifications and

- 1 experience, requirements for continuing professional education, or by
- 2 reexamination on a periodic or other basis;
- 3 (f) The board shall adopt and issue a code of professional conduct
- 4 that shall be binding upon all registrants and others permitted to
- 5 practice geology or engineering geology under the limitations of this
- 6 chapter; and
- 7 (g) The board may work with the boards of registration of other
- 8 states, and with other appropriate organizations and agencies, for the
- 9 purposes of developing uniform standards for the registration of
- 10 geologists and engineering geologists; establishing reciprocity and
- 11 temporary registration; developing regional or national examinations;
- 12 evaluating applicants; or other purposes consistent with this chapter.
- 13 (4) The board shall publish and maintain a roster showing the names
- 14 and places of business of all registered geologists, engineering
- 15 geologists, and firms or corporations practicing under certificates of
- 16 registration issued by the board.
- 17 <u>NEW SECTION.</u> **Sec. 5.** The board shall determine by rule what
- 18 information applicants must submit in support of an application for
- 19 registration as a geologist or an engineering geologist. The board
- 20 shall also determine by rule the requirements that an applicant must
- 21 meet in order to receive a certificate of registration as a geologist
- 22 or an engineering geologist. In determining these requirements, the
- 23 board shall consider an applicant's education and experience, and an
- 24 applicant's score on an examination established by the board for the
- 25 purpose of testing the applicant's knowledge of the fundamentals and
- 26 practice of geology or engineering geology. The board shall give due
- 27 consideration to the suggested qualifications for education,
- 28 experience, and testing outlined in the council of professional
- 29 geological organizations' suggested geologist practice act. The board

- 1 shall adopt rules for reexamination and partial reexamination for
- 2 applicants who have failed all or part of the board's required
- 3 examination.
- In any individual case the board, by a two-thirds vote, may waive
- 5 any of the requirements for registration, except payment of the
- 6 applicable fees, upon written request of an applicant and upon a
- 7 showing of good cause. If the board determines that the applicant is
- 8 qualified for registration as a geologist or an engineering geologist,
- 9 the requirements waived and the basis for such waiver shall be recorded
- 10 in the applicant's record and in the proceedings of the board. An
- 11 applicant who applies for registration as a geologist or an engineering
- 12 geologist within one year after the effective date of this act shall be
- 13 considered qualified for registration without further written
- 14 examination if the applicant meets qualifications established by the
- 15 board. In establishing qualifications for parties applying within one
- 16 year after the effective date of this act, the board shall give due
- 17 consideration to the suggested qualifications for education,
- 18 experience, and testing outlined in the council of professional
- 19 geological organizations' suggested geologist practice act.
- 20 <u>NEW SECTION.</u> **Sec. 6.** The board may deny registration to an
- 21 applicant who fails to satisfy any of the requirements established
- 22 pursuant to this chapter, or if the board determines that there is
- 23 probable cause to believe that an applicant has violated this chapter
- 24 or comparable provisions in effect in any other state. The board shall
- 25 not issue a certificate of registration pending the disposition, in
- 26 this or another state, of any complaint alleging a violation of which
- 27 the board has notice.
- 28 An applicant who is denied registration shall be notified in
- 29 writing within thirty days of the board's decision, stating the reason

- 1 for the denial. Within thirty days after receipt of such notice, the
- 2 applicant may make written request for a review hearing before the
- 3 board. In the absence of a request for a hearing, the board's action
- 4 shall be final and conclusive.
- 5 The board shall refund the application fee of any applicant whose
- 6 application has been denied, less the cost of considering the
- 7 application, as determined by the board.
- 8 <u>NEW SECTION.</u> **Sec. 7.** The board shall issue a certificate
- 9 evidencing registration as a geologist or an engineering geologist to
- 10 an applicant whom the board feels has met the requirements established
- 11 pursuant to this chapter and who has paid the applicable fees. The
- 12 certificate shall show the full name of the registrant, shall have a
- 13 serial number, and shall be signed by an appropriate officer of the
- 14 board. The issuance by the board of a certificate of registration is
- 15 evidence of a certificate holder's right to practice geology or
- 16 engineering geology, so long as the certificate remains unrevoked or
- 17 unexpired.
- 18 Each registrant shall procure a seal or stamp of a design
- 19 established by the board, bearing the registrant's name, serial number,
- 20 and either the legend "registered geologist" or the legend "registered
- 21 engineering geologist." Geologic reports, documents, chain-of-custody
- 22 records, manifests, permits, affidavits, maps, plans, logs, sections,
- 23 or other public records prepared or issued by or under the supervision
- 24 of a registered geologist or a registered engineering geologist, shall
- 25 include the full name, signature, and serial number of the registrant
- 26 who prepared the document or under whose supervision the document was
- 27 prepared, and an impression of the registrant's seal or stamp, in
- 28 accordance with rules established by the board.

- 1 <u>NEW SECTION.</u> **Sec. 8.** The director has the following authority
- 2 in administering this chapter:
- 3 (1) The director shall have the authority to investigate complaints
- 4 of violations of this chapter and of unprofessional conduct by
- 5 registrants;
- 6 (2) The director shall have the authority to suspend or revoke any
- 7 certificate, and to impose sanctions or disciplinary actions for
- 8 violations of this chapter; and
- 9 (3) The director shall have the authority to administer oaths and
- 10 affirmations, and to issue subpoenas to compel the attendance of
- 11 witnesses and the production of evidence. The director shall also have
- 12 the authority to issue cease and desist orders and to seek injunctions
- 13 against violations of this chapter.
- 14 <u>NEW SECTION.</u> **Sec. 9.** A person, including but not limited to
- 15 consumers, registrants, corporations, organizations, and state and
- 16 local government agencies, may submit a written complaint to the
- 17 department charging a certificate holder or applicant with
- 18 unprofessional conduct and specifying the grounds for this charge. If
- 19 the director determines that the complaint merits investigation, or if
- 20 the director has reason to believe, without a formal complaint, that a
- 21 certificate holder or applicant may have engaged in unprofessional
- 22 conduct, the director shall investigate to determine if there has been
- 23 unprofessional conduct. A person who files a complaint under this
- 24 section in good faith is immune from suit in any civil action related
- 25 to the filing or contents of the complaint.
- 26 <u>NEW SECTION.</u> **Sec. 10.** The following acts are considered
- 27 unprofessional conduct and constitute grounds for disciplinary action,

- 1 or suspension or revocation of a certificate issued pursuant to this
- 2 chapter, as deemed appropriate by the director:
- 3 (1) The practice of any fraud or deceit in obtaining a certificate
- 4 of registration as a geologist or an engineering geologist;
- 5 (2) Incompetency, misconduct, fraud, gross negligence, or repeated
- 6 incidents of negligence in or related to the practice of geology or
- 7 engineering geology;
- 8 (3) Conviction of a felony or crime involving moral turpitude, or
- 9 the imposition of an administrative, civil, or criminal fine, or
- 10 sentence of imprisonment or probation in lieu thereof, for any
- 11 misdemeanor relating to or arising out of the practice of geology or
- 12 engineering geology;
- 13 (4) Using the seal or stamp of another, or using or allowing use of
- 14 one's seal or stamp on work not performed by or under the supervision
- 15 of the registrant, or otherwise aiding or abetting any person in the
- 16 violation of any provision of this chapter;
- 17 (5) Revocation, suspension, or denial of a certificate of
- 18 registration, or denial of a renewal of a certificate, by a board or
- 19 agency in another state operating a registration program for geologists
- 20 or engineering geologists similar to the provisions of this chapter;
- 21 (6) Dependence on or addiction to alcohol or any legal or illegal
- 22 drugs, to the extent that the dependence substantially interferes with
- 23 the individual's ability to assume responsible charge of and
- 24 responsibility for geological work; or
- 25 (7) Violation of any provision of this chapter or of a rule or
- 26 regulation adopted pursuant to this chapter, including violations of
- 27 the code of professional conduct adopted by the board.

- 1 <u>NEW SECTION.</u> **Sec. 11.** Upon a finding that a certificate holder
- 2 or applicant has committed unprofessional conduct, the director may
- 3 issue an order providing for one or any combination of the following:
- 4 (1) Denial of a certificate or denial of renewal of a certificate
- 5 of registration to practice geology or engineering geology;
- 6 (2) Permanent revocation of the certificate of registration of any
- 7 holder thereof;
- 8 (3) Suspension of the certificate of registration of any holder
- 9 thereof;
- 10 (4) Censure, reprimand, or issue of a public or private
- 11 admonishment;
- 12 (5) Imposition of limitations, conditions, or restrictions upon the
- 13 practice of an applicant or a certificate holder;
- 14 (6) Requiring a registrant's or applicant's participation in a peer
- 15 review program;
- 16 (7) Requiring a registrant to obtain remedial education and
- 17 training;
- 18 (8) Imposition of probation on a certificate holder;
- 19 (9) Requiring a certificate holder to pay restitution of
- 20 compensation or fees earned by a certificate holder; and
- 21 (10) Imposition of civil fines.
- 22 <u>NEW SECTION.</u> **Sec. 12.** Persons engaged in the following
- 23 activities shall not be required to register under this chapter:
- 24 (1) Geological work performed by an employee or subordinate of a
- 25 registrant under this chapter, so long as the work does not include
- 26 responsible charge of geological work and is performed under the direct
- 27 supervision of a registered geologist or a registered engineering
- 28 geologist, who shall be responsible for such work;

- 1 (2) Geological work performed by officers and employees of the
- 2 United States practicing solely as such officers and employees;
- 3 (3) Geological work performed exclusively in the exploration for
- 4 and development of energy resources and base, precious, and nonprecious
- 5 minerals, including sand, gravel, and aggregate;
- 6 (4) Geologic research conducted through academic institutions,
- 7 agencies of the federal or state governments, nonprofit research
- 8 institutions, or for-profit organizations, including submissions of
- 9 research to public agencies;
- 10 (5) Teaching in geology or related physical or natural sciences,
- 11 except for teaching in engineering geology as defined in this chapter;
- 12 (6)(a) The acquisition of engineering data involving soil, rock,
- 13 groundwater, and other earth materials; evaluation of the physical and
- 14 chemical properties of soil, rock, ground water, and other earth
- 15 materials; and utilization of these data in analysis, design, and
- 16 construction by professional engineers registered and licensed in this
- 17 state, and (b) similar geological work performed by persons or
- 18 organizations licensed or registered in any other profession or
- 19 occupation related to geology, provided such work is permitted under
- 20 the applicable licensing or registration law, and is incidental to the
- 21 practice or the profession or occupation for which licensure and
- 22 registration is required;
- 23 (7) Work customarily performed by such physical or natural
- 24 scientists and chemists, archaeologists, geographers, oceanographers,
- 25 and soil scientists; and
- 26 (8) The giving of testimony, or preparation and presentation of
- 27 exhibits or documents for the sole purpose of being placed in evidence
- 28 before any administrative or judicial tribunal or hearing, provided
- 29 that the testimony, exhibits, or documents do not imply that the person

- 1 is a registered geologist or a registered engineering geologist under
- 2 this chapter.
- 3 <u>NEW SECTION.</u> **Sec. 13.** The geologists licensing account is
- 4 created in the state treasury. All fees and fines collected under this
- 5 chapter shall be paid into the account. When appropriated by the
- 6 legislature, moneys in the account may be spent by the department of
- 7 licensing only for purposes of administering the provisions of this
- 8 chapter.
- 9 <u>NEW SECTION.</u> **Sec. 14.** This chapter shall be known and may be
- 10 cited as "The Washington registration of geologists act."
- 11 <u>NEW SECTION.</u> **Sec. 15.** Sections 1 through 14 of this act shall
- 12 constitute a new chapter in Title 18 RCW.
- 13 <u>NEW SECTION.</u> **Sec. 16.** If any provision of this act or its
- 14 application to any person or circumstance is held invalid, the
- 15 remainder of the act or the application of the provision to other
- 16 persons or circumstances is not affected.
- 17 <u>NEW SECTION.</u> **Sec. 17.** The sum of seventy-five thousand
- 18 dollars, or as much thereof as may be necessary, is appropriated for
- 19 the biennium ending June 30, 1993, from the general fund to the
- 20 department of licensing for the purposes of administering the
- 21 registration of geologists act.